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**BEFORE THE PUBLIC UTILITIES COMMISSION
OF THE STATE OF CALIFORNIA**

Joint Application of California-American
Water Company (U-210-W) and Bass Lake
Water Company (U-190-W) for an Order
Authorizing Bass Lake Water Company to
Sell and California-American Water to
Purchase the Water Utility Assets of Bass
Lake Water Company.

Application No. 22-03-____

**JOINT APPLICATION FOR ORDER AUTHORIZING SALE AND PURCHASE
OF UTILITY ASSETS**

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**BEFORE THE PUBLIC UTILITIES COMMISSION
OF THE STATE OF CALIFORNIA**

Joint Application of California-American
Water Company (U-210-W) and Bass Lake
Water Company (U-190-W) for an Order
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Application No. 22-03-____

**JOINT APPLICATION FOR ORDER AUTHORIZING SALE AND PURCHASE
OF UTILITY ASSETS**

Pursuant to Sections 851-854 and 2718-2720 of the California Public Utilities Code,
Decision (“D.”) 99-10-064, D.20-08-047, Article 2 of the California Public Utilities Commission
 (“Commission”) Rules of Practice and Procedure (“Rules”), and Rule 3.6, Bass Lake Water
 Company (U-190-W) (“Bass Lake” or “Seller”) joins with California-American Water Company
 (U-210-W) (“California American Water,” the “Company,” or “Buyer”) (together, the
 “Applicants”) in this Application requesting the Commission authorize the sale of Bass Lake’s
 assets, California American Water’s purchase of those assets, and certain related actions.

I. INTRODUCTION

The consolidation of Bass Lake’s assets into California American Water’s much larger
 system is in the public interest. The consolidation furthers important public policies and
 customer interests.

Provided the Commission approves this Application, California American Water’s
 acquisition of Bass Lake’s utility assets will occur pursuant to the asset purchase agreement
 dated August 30, 2019 (“Asset Purchase Agreement”), Amended October 14, 2021
 (“Amendment”), between the Seller and California American Water. A copy of the Asset
 Purchase Agreement is included as “Confidential Attachments 4.a. and 4.b.” to the Direct

1 Testimony of Stephen Wesley Owens.

2 This Application asks the Commission to approve the Asset Purchase Agreement and
3 Amendment, the transaction contemplated in that Agreement and Amendment, and certain
4 related matters. Specifically, Applicants request Commission authority:

- 5 i. Approving the Asset Purchase Agreement and the Amendment's terms
6 and conditions.
- 7 ii. Expanding California American Water's Certificate of Public
8 Convenience and Necessity ("CPCN") so the Company may assume all
9 public utility responsibilities for the operation and ownership of the water
10 utility operations in Bass Lake's current service area.
- 11 iii. Establishing the rate base of the acquired system, at the time of approval
12 of a decision in this application, as the full purchase price paid by
13 California American Water for the Bass Lake system's assets covered by
14 the Asset Purchase Agreement.¹
- 15 iv. Authorizing California American Water to record the acquisition on a net
16 basis consistent with generally accepted accounting principles.
- 17 v. Allowing California American Water immediate consolidation of the Bass
18 Lake system into California American Water's Northern Division for
19 operational purposes, with California American Water (until
20 implementation of the Commission in the Company's next GRC)
21 maintaining existing Commission-approved rates and charges in effect at
22 the time the acquisition closes.
- 23 vi. Approving California American Water's request to file standard CPI-U
24 rate increases for Bass Lake as allowed for Class C utilities until Bass
25 Lake is consolidated for rate making purposes into California American
26

27 ¹ Original acquired rate base may be subsequently adjusted to include transactional costs associated with
28 the acquisition, depending on the resolution of recovery of such costs in a subsequent California
American Water General Rate Case ("GRC").

1 Water's Northern Division in 2024, following the decision in the
2 Company's next GRC.

- 3 vii. Allowing California American Water to integrate the Bass Lake system
4 into the Northern Division (and Corporate Office) for ratemaking purposes
5 as of January 1, 2024.² The rates for Bass Lake customers from January
6 1, 2024 forward would be determined in the 2022 California American
7 Water GRC set for filing on July 1, 2022.
- 8 viii. Approving California American Water's Request to create a Bass Lake
9 Acquisition Contingency Memorandum Account ("BLACMA"). This
10 account would capture the differences between revenues billed at current
11 Bass Lake and California American Water rates and revenues that would
12 have been billed under the final rates if Bass Lake were fully consolidated
13 for rate making purposes upon close of the acquisition.
- 14 ix. Establishing a Bass Lake Transaction Cost Memorandum Account,
15 pursuant to Commission Standard Practice U-27-W, to track all
16 transaction related costs with rate treatment determined in California
17 American Water's subsequent GRC.
- 18 x. Establishing a Bass Lake Meter Installation Memorandum Account
19 ("BLMIMA") to track incremental operations and maintenance expense
20 and revenue requirement of facilities associated with meter installation in
21 Bass Lake prior to ratemaking integration of the system into California
22 American Water's authorized revenue requirement.
- 23 xi. Approving California American Water's request to allow tracking of costs
24 of addressing any required environmental improvements and compliance
25 issues in the already established memorandum account related to the same
26

27
28 ² Please see Section IV.B. below for an explanation of the allocation of rate base between the Northern
Division and the Corporate Office of California American Water for ratemaking purposes.

1 issues for the Dunnigan, Geyserville, Meadowbrook, Rio Plaza, Fruitridge
2 Vista, Hillview, and East Pasadena acquisitions.

3
4 Applicants will also provide direct testimony by Stephen Audie Foster and Stephen
5 Wesley Owens, both employees of California American Water; Michael Wademan, from the
6 engineering firm of Brown and Caldwell; Kevin Zanni, from the appraisal firm of Willamette
7 Management Associates; Matthew Payne from WestWater Research, LLC; and Frank
8 Brommenschenkel on behalf of Bass Lake.

9 The relief requested in this Application should not be controversial. The proposed
10 acquisition furthers important Legislative and Commission policies and goals. The acquisition
11 also benefits Bass Lake and Company customers. This proceeding, therefore, should move along
12 quickly and be placed on the Commission's agenda in accordance with the timeline established
13 in D.99-10-064.³ Delayed approval beyond that timeline could impact California American
14 Water's ability to complete necessary post-acquisition metering by January 1, 2025, or otherwise
15 delay that effort.

16 **II. THE PROPOSED TRANSACTION**

17 **A. Bass Lake**

18 Bass Lake is a Commission-regulated Class C public water utility. It owns and operates
19 water production, storage, and distribution facilities consisting of wells, reservoirs, meters,
20 mains, distribution lines, and other facilities and properties necessary and useful for the utility's
21 operations. The system serves approximately 1,000 customers in Madera County, California,
22 most of which are unmetered. The Bass Lake system has rights to surface water, a premium in
23 an area where ground water often must be treated to remove uranium and arsenic. Bass Lake's
24 long-standing president of 36 years is planning to retire.

25
26 ³ See D.99-10-064, *Order Instituting Rulemaking on the Commission's Own Motion to Set Rules and to*
27 *Provide Guidelines for the Acquisition and Mergers of Water Companies*, dated October 21, 1999 ("D.99-
28 10-064"), Appendix D at Section 2.03 ("Processing. The Parties agree that applications should be
processed according to the schedules attached to this Settlement"), and at p. 5, which sets forth the
schedules for a Class D utility.

1 **B. Bass Lake’s Approved Commission Rate Base**

2 As a Class C water utility, Bass Lake’s revenue requirement is based on rate of margin
3 instead of rate of return. In Bass Lake’s last GRC, the Commission authorized a revenue
4 requirement that achieved the Commission’s then authorized rate of margin of 24.0%, equivalent
5 to a rate of return of 13.70%. Revenues were subsequently adjusted through multiple CPI
6 increases and rate base offsets. The Commission authorized a \$923,933 rate base offset increase
7 in 2021 in Resolution W-5235 in response to Advice Letter 78. That Resolution authorized rates
8 to provide a total revenue increase of \$200,807, for total recommended annual revenues of
9 \$1,106,597, and an authorized total rate base of \$2,722,745.

10 **C. California American Water**

11 California American Water, a California corporation, is a Class A public utility water and
12 wastewater company regulated by the Commission. The company provides regulated water
13 and/or wastewater utility services in parts of San Diego, Los Angeles, Ventura, Monterey,
14 Sonoma, Yolo, Sacramento, Merced, and Placer counties, serving approximately 680,000 people
15 in 50 communities.

16 California American Water is an experienced water and wastewater system operator,
17 including in Madera County, where the Company’s Hillview service Area is just a few miles
18 from Bass Lake’s service area. California American Water has also recently received
19 Commission approval to acquire several smaller water providers, ranging from Class D to Class
20 B providers as well as mutual water companies.⁴ California American Water is a subsidiary of
21 American Water Works Company, Inc. (“American Water”), the largest publicly traded water
22

23 ⁴ See D.15-11-012, *Decision Authorizing California-American Water Company to Purchase the Public*
24 *Utility Assets of Dunnigan Water Works*, dated Nov. 10, 2015; Resolution W-5042, *Order Approving*
25 *California American Water Company’s Request to Acquire Ox Bow Mutual Water Company*, dated June
26 11, 2015; D.16-11-014, *Decision Authorizing the Sale and Adopting Settlement Agreement* (Geyserville
27 acquisition), dated Nov. 17, 2016; D.16-12-014, *Decision Adopting Settlement Agreement and Approving*
28 *Joint Application of California-American Water Company to Purchase and Meadowbrook Water*
 Company of Merced, Inc., to Sell the Meadowbrook Water System, dated Dec. 6, 2017; D.19-04-015,
 Decision Authorizing Sale and Transfer, dated May 2, 2019 (“D.19-04-015”); D.19-12-038, *Decision*
 Authorizing the Purchase of Water Utility Assets by California-American Water Company, dated
 December 19, 2019; D.21-08-002, *Decision Approving the Sale of East Pasadena Water Utility Assets to*
 California-American Water Company, Inc., issued August 6, 2021.

1 and wastewater utility in the United States, with operations serving approximately 14 million
2 people across North America. A description of California American Water’s plant, water
3 systems, and property is on file with the Commission in California American Water’s most
4 recent Annual Report to the Commission.

5 **D. The Asset Purchase Agreement**

6 Under the Asset Purchase Agreement, California American Water will acquire certain
7 assets associated with Bass Lake. For those assets, California American Water will pay to Bass
8 Lake the Cash Purchase Price of \$5,923,933 million, plus or minus any Adjustment Amount, if
9 applicable.⁵ The total purchase price consists of the Cash Purchase Price of \$5,000,000 as
10 described in the Asset Purchase Agreement and the Commission-approved rate base offset of
11 \$923,933 for the Pines Tank Replacement Project as described in the Asset Purchase Agreement
12 (Amendment). The Adjustment Amount will be determined based on the methodology set forth
13 in “Exhibit 3” to the Asset Purchase Agreement.

14 15 **III. CUSTOMER BENEFITS AND PUBLIC INTEREST**

16 **A. Legislative Declarations and Other Resolutions Support the Acquisition**

17 In Public Utilities Code Section 2719, the Legislature found and declared (1) public water
18 systems face the need to replace or upgrade infrastructure to meet increasingly stringent state and
19 federal laws and regulations, (2) increasing amounts of capital are required to finance the
20 necessary investment in that infrastructure, (3) scale economies are achievable in the operation of
21 public water systems, and (4) providing water corporations with an incentive to achieve these
22 scale economies provides benefits to ratepayers.⁶ Similarly, SWRCB Resolution No. 2008-0048
23 states: small water systems (1) often cannot provide the economies of scale necessary to build
24 and maintain adequate water and wastewater systems; (2) lack resources and in-house expertise,

25
26
27 ⁵ See the Asset Purchase Agreement at p. 2 and at Exhibit 2 definition of “Cash Purchase Price” at p. 33;
also see the Amendment, Paragraph 5 of p. 3.

28 ⁶ Pub. Util. Code § 2719.

1 including those necessary to best manage long-term operations; and (3) need financial and
2 technical assistance to ensure compliance.

3 More recently, in D.20-08-047, the Commission recognized the benefits of transactions
4 such as the one being proposed in this application: “Consolidation may be a means to improve
5 affordability, by leveraging greater economies of scale and scope, and by importing best, or
6 better, practices related to operating a water utility, as well as designing rates to allow recovery
7 of reasonable expenses.”⁷

8 California American Water serves a population of approximately 680,000 throughout
9 California. It is one of the largest investor-owned water utilities in the State. Its parent
10 company, American Water, is the largest publicly traded water and wastewater utility in the
11 United States, with operations serving approximately 16 million people across North America.
12 As is discussed in further detail below, California American Water’s size, experience, and
13 resources give it a distinct advantage in being able to replace or upgrade systems effectively and
14 efficiently to meet increasingly stringent state and federal mandates and provide improved access
15 to the capital needed to finance such infrastructure investments. California American Water’s
16 acquisition of Bass Lake will also achieve efficiencies and economies of scale that would
17 otherwise not be available.

18 **1. Improved Access to Capital Supports Granting the Application**

19 As the expense of meeting increasingly stringent regulations climbs, greater amounts of
20 capital will be required to fund infrastructure projects. California American Water has better
21 access to capital and at lower costs than Bass Lake. By D.18-07-013, the Commission recently
22 authorized California American Water to issue up to \$359,450,000 in long-term debt. California
23 American Water has a Financial Services Agreement with American Water Capital Corporation,
24 another subsidiary of American Water. That Agreement’s purpose is to provide financing to
25 other subsidiaries, such as California American Water.⁸ The Commission and Legislature have

27 ⁷ D.20-08-047, p. 85.

28 ⁸ See D.18-07-013, *Application of California-American Water Company (U210W) to issue, sell and*

1 recognized that access to capital is important and benefits the public interest. Additionally, the
2 carrying cost of rate base for California American Water would be lower than the prevailing cost
3 under Bass Lake's current ownership. California American Water's current authorized rate of
4 return is 7.61%, which is below the 8.64% rate or return that W-5235 authorized rates for Bass
5 Lake are forecasted to generate. Thus, California American Water's acquisition of Bass Lake
6 ensures access to capital needed to finance infrastructure necessary to supply Bass Lake
7 customers with safe water.

8 **2. Benefits from Economies of Scale Support Application Approval**

9 Benefits from economies of scale also strongly support granting this Application.
10 Examples of where economies of scale often benefit larger utilities and their customers include:
11 (1) compliance with regulatory requirements, (2) maintaining customer information and billing
12 systems, (3) purchasing materials and supplies, (4) maintaining high levels of customer service,
13 (5) maintaining and improving quality of treated water, (6) providing for current infrastructure
14 needs and future growth, and (7) supporting a level of expertise required to navigate often
15 complex requirements for government programs such as grant funds and revolving fund loans.

16 Larger utilities, such as California American Water, can develop greater in-house
17 expertise, creating institutional knowledge. California American Water employs personnel with
18 specific expertise in such specialized functions as water quality and testing, environmental
19 compliance, customer service, engineering, and conservation. Smaller utilities frequently must
20 rely on outside consultants who usually cost more and leave at the end of the project, taking their
21 institutional knowledge with them. Benefits from more diverse and specialized workforces at
22 larger utilities provide advantages over smaller systems in numerous areas, including
23 environmental and water quality, financing, human resources, and general operations. In
24 addition, with California American Water's greater size and more extensive personnel, Bass
25 Lake customers will have greater assurance of high-quality service. California American Water
26 has a more sizeable workforce with overlapping skills, which reduces the chance of coverage

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28 *deliver debt securities consisting of long-term notes not exceeding \$359,450,000 in the aggregate, and
other related requests, dated July 12, 2018 ("D.18-07-013").*

1 gaps due to illness, vacation, or unavailability. It also has greater access to more advanced
2 equipment and technology, which aids in resolving issues more quickly.

3 California American Water's ability to spread fixed costs, lowering per-customer share of
4 such costs, supports approval of this Application. Economies of scale are also driven by the
5 relationship between the fixed and variable costs of operation. Utilities are capital intensive.
6 Fixed costs are high relative to variable costs. For example, testing equipment for a system of
7 200 customers may cost the same as that for a system of 20,000. With greater environmental and
8 regulatory requirements, fixed costs will likely only increase, presenting a problem for smaller
9 water companies, such as Bass Lake. With its much smaller customer base, Bass Lake will have
10 trouble spreading those increased fixed costs. Because of California American Water's large
11 size, it has a much better ability to spread costs and improve efficiencies.

12 As is discussed in greater detail in testimony, including that of Stephen Wesley Owens,
13 California American Water anticipates savings in insurance expenses from leveraging American
14 Water's ability to obtain favorable rates due to the larger company size. Further, California
15 American Water anticipates reducing Bass Lake's miscellaneous expenses related to regulatory,
16 materials and plant maintenance through leveraging economies of scale, existing employees, and
17 existing statewide shared services. These specific items will not necessarily create dollar-for-
18 dollar savings, as much of the associated work will still need to be performed; however,
19 California American Water will be able to leverage existing economies of scale to perform the
20 work at lower cost, creating long-term savings for Bass Lake customers.

21 Thus, economies of scale based on the ability to spread fixed costs, improved efficiencies
22 through specialization, as well as things such as market presence (which includes access to
23 capital and volume discounts for materials), as well as synergies all support granting the
24 Application.

1 **B. State Water Resources Control Board Policy Supports Application Approval**

2 According to the Public Policy Institute of California, "...the state is actively
3 encouraging one solution: the consolidation of smaller systems into larger ones."⁹ In Resolution
4 No. 2008-0048, the SWRCB noted that small water systems: (1) often cannot provide the
5 economies of scale necessary to build and maintain adequate water and wastewater systems; (2)
6 lack resources and in-house expertise, including those necessary to best manage long-term
7 operations; and (3) need financial and technical assistance to ensure compliance.¹⁰ Senate Bill
8 88 (2015) added sections 116680-116684 to the California Health and Safety Code, giving the
9 SWRCB the ability to mandate consolidation when appropriate – underscoring the Legislature’s
10 recognition of the need for consolidation. In implementing that new authority, the SWRCB has
11 publicly stated that it “has encouraged – and will continue to encourage –voluntary
12 consolidations of public water systems...”¹¹ According to the SWRCB, “Small public water
13 systems are often less resilient to natural disasters, such as drought and fire, have more difficulty
14 adjusting to regulatory changes, and may struggle to fund infrastructure maintenance and
15 replacement due to poor economies of scale and lack of staff.”¹² This is why the SWRCB
16 “supports water partnerships whenever feasible.”¹³

17 As noted above, California American Water’s acquisition of Bass Lake will help to
18 provide greater economies of scale and bring greater resources and expertise (financial,
19 technical, personnel) to the management and operation of the Bass Lake. This is consistent with
20 the SWRCB’s recognition of the benefits of this type of transaction.

21 _____
22 ⁹ Available at www.ppic.org/blog/connecting-water-systems-for-safe-drinking-water/: Connecting Water
23 Systems for Safe Drinking Water.

24 ¹⁰ SWRCB Resolution No. 2008-0048, Promoting Strategies to Assist Small and/or Disadvantaged
25 Communities with Wastewater Needs, at p. 1.

26 ¹¹ Available at www.waterboards.ca.gov/drinking_water/programs/compliance/: Mandatory
27 Consolidation or Extension for Disadvantaged Communities.

28 ¹² Available at
https://www.waterboards.ca.gov/drinking_water/certlic/drinkingwater/waterpartnership.html: Water
System Partnerships and Voluntary Consolidation.

¹³ *Id.*

1 **C. The Commission’s Water Action Plan Supports Application Approval**

2 The Commission’s Water Action Plan recognizes that to maintain the highest standards
3 of water quality, the Commission should provide incentives for the acquisition or operation of
4 smaller water and sewer utilities. In adopting the plan, the Commission noted:

5 Smaller water companies often do not have the resources or expertise to
6 operate in full compliance with increasingly stringent and complex water
7 quality regulations. Many water companies are too small to be viable in
8 the long-term, raising questions as to whether they will be able to continue
9 to provide clean and reliable water in the future. DPH requests Class A
utilities (over 10,000 connections) to report on an annual basis which
smaller utilities they might consider purchasing.¹⁴

10 The Water Action Plan’s objectives include: (1) maintaining the highest standards of
11 water quality; (2) strengthening water conservation programs to a level comparable to those of
12 energy utilities; (3) promoting water infrastructure investment; (4) assisting low-income
13 ratepayers; (5) streamlining Commission regulatory decision making; and (6) setting rates that
14 balance investment, conservation, and affordability.¹⁵ This application seeks approval of a
15 transaction that will further these Commission objectives.

16 **1. Maintaining the Highest Standards of Water Quality**

17 California American Water’s purchase of Bass Lake will ensure that the first objective of
18 the Water Action Plan (maintaining the highest standards of water quality) is met. This
19 Application seeks approval of a transaction that furthers these Commission objectives. The
20 purchase of a smaller system by a larger system makes economic sense. California American
21 Water will bring economies of scale, greater internal expertise, access to resources, and greater
22 knowledge and experience. These will help maintain the highest standards of water quality.

23 **2. Strengthening Water Conservation Programs**

24 The proposed transaction will also promote the Water Action Plan objective of
25

26 _____
27 ¹⁴ California Public Utilities Commission 2010 Water Action Plan, at p. 9, available at
<http://docs.cpuc.ca.gov/PUBLISHED/Graphics/125501.PDF>.

28 ¹⁵ *Id.* at p. 3.

strengthening conservation. California American Water has an established, successful, more robust conservation program. Bass Lake customers would ultimately have access to California American Water's wide-ranging conservation programs.

3. Promoting Water Infrastructure Investment

California American Water has greater access to resources, including financing and personnel trained in planning for infrastructure development, therefore helping to advance the Water Action Plan's goal of promoting water infrastructure investment.

4. Assisting Low-Income Ratepayers

Bass Lake currently lacks a low-income program. Given Bass Lake's size, such a program could prove difficult for Bass Lake to implement. California American Water has a well-functioning low-income program and has implemented that program in acquired systems. Moreover, California American Water's program benefits from the company's ability (through coordination with energy companies) to identify customers that qualify. This ability is important because some qualified customers may be unaware of the programs or unsure how to subscribe to them. Because of California American Water's size and scope of operations, the data processing costs are kept low on a per-customer basis. The acquisition, therefore, advances the Water Action Plan's objective of assisting low-income ratepayers.

5. Streamlining Commission's Regulatory Decision-Making

California American Water's acquisition of Bass Lake's assets will reduce the workload in terms of Commission review. It decreases the number of independent systems the Commission must regulate and better centralizes reporting for systems.

6. Setting Rates That Balance Investment, Conservation and Affordability

Due to California American Water's size, financial strength, and the breadth of expertise of its employees, the acquisition supports the Commission Water Action Plan's objectives of strengthening water conservation programs and setting rates that balance investment, conservation, and affordability. In addition, California American Water can spread costs to operate, maintain, and invest over a much larger customer base.

1 For all these reasons, the acquisition will further the Commission's goals under the Water
2 Action Plan and should be approved by the Commission.

3 **D. Customer Benefits**

4 As discussed above, the purchase will increase the likelihood of the Bass Lake
5 community's long-term access to safe and reliable water services at affordable prices. California
6 American Water's size, position in the industry and association with American Water, and the
7 proximity of California American Water's Hillview service areas, will allow California
8 American Water to meet water quality, reliability, and customer service standards efficiently.
9 California American Water's larger and more specialized workforce and nearby locations allow
10 for expanded customer service options and for assistance in emergency situations. In addition,
11 after the acquisition, customers now served by Bass Lake will have access to web self-service for
12 many services, paperless billing and call centers that have the capacity to obtain translation
13 services in several languages.

14 California American Water also has a robust safety program that focuses on leading
15 indicators. The Company's near miss program is one example of this. Employees are
16 encouraged to report incidents that could have created an injury or accident but did not in that
17 instance. Near miss incidents are reported through a phone call, computer, or handheld device.
18 Corrective actions are then taken if appropriate. Another program is the Company's Safety Lead
19 Program, where field employees are empowered to perform job site safety checks and teach
20 safety courses for co-workers. Learning from their peers is an excellent way to make certain that
21 California American Water communicates safety information to employees and contractors.
22 Finally, each worker carries a "Stop Work Authority" reminder on the back of his/her work
23 identification card. This is a reminder that if an employee feels that a job is unsafe, the employee
24 is empowered to stop the job immediately until the unsafe situation is remedied. All these
25 programs will enhance the safety of the water service provided to customers now served by Bass
26 Lake.

1 The acquisition also benefits current California American Water customers. In the long
2 run, a larger total customer base will spread costs and risks, benefiting all current and future
3 California American Water customers.

4 **E. The Transaction Furthers the Commission’s Environmental and Social**
5 **Justice Action Plan**

6 The Commission’s Environmental and Social Justice Action Plan (“ESJ Plan”)
7 identifies existing inequities and proposes actions for how the Commission can use its regulatory
8 authority to address health and safety, consumer protection, program benefits, and enforcement
9 to encompass all the industries it regulates, including energy, water, and communications
10 programs. Goal 3 of the Commission’s ESJ Plan is to improve access to high-quality water,
11 communications, and transportation services for ESJ Communities.¹⁶ For water utilities,
12 objectives for this goal include (1) consolidating small water systems, and (2) expanding low-
13 income programs.¹⁷

14 The ESJ Plan recognizes consolidation of smaller systems is an important tool to
15 ensure customers receive safe and reliable water. The Commission recognizes smaller water
16 systems often lack the ability to fully comply with increasingly stringent water quality
17 regulations and to be viable in the long-term.¹⁸ As noted above, California American Water will
18 bring economies of scale, internal expertise, access to resources, as well as greater knowledge
19 and experience. California American Water’s much larger size will also enable it to spread costs
20 over a much broader customer base, reducing the chance of rate shock for customers and
21 ensuring that necessary rate increases are more moderate.

22 As previously discussed, California American Water has a low-income assistance
23 program that has assisted many customers. The Commission’s approval of California American
24

25
26 ¹⁶ ESJ Plan, Version 1.0, February 21, 2019, p. 7.

27 ¹⁷ Id. at p. 16.

28 ¹⁸ California Public Utilities Commission 2010 Water Action Plan, p. 9.

Water's acquisition of Bass Lake could allow for expansion of that program to customers of Bass Lake, which does not currently offer a low-income assistance program.

California American Water reports annually to the Commission on its supplier diversity. The Company also conducts diversity fairs to provide opportunities for diverse vendors. California American Water has a Diversity Champion Network and conducts numerous employee trainings. As is noted above, California American Water also provides translation services for customers who would prefer to communicate with the Company in a language other than English.

IV. RATES AND REGULATORY TREATMENT

The regulatory treatment proposed in this application for California American Water's acquisition of Bass Lake's assets is in the public interest. The purchase and eventual full consolidation of Bass Lake into California American Water's Northern Division, with partial allocation of authorized rate base to General Office for ratemaking purposes, supports California American Water's ability to provide safe, reliable, and affordable water and services – including to Bass Lake customers.

A. Request for Authorized Rate Base Equal to Fair Market Value

Applicants request the Commission authorize rate base equal to the total final purchase price (*i.e.*, \$5,923,933 million plus possible adjustments, if any).¹⁹ California American Water requests to record the acquisition on a net basis consistent with generally accepted accounting principles. The purchase price resulted from negotiations between a willing and informed buyer and a willing and informed seller with neither side compelled to enter the transaction hastily or out of necessity.

The purchase price conforms to the definition of "fair market value" set forth in Code of Civil Procedure Section 1263.320(a). Inclusion of the entire purchase price in rate base is

¹⁹ As is discussed above, this amount is subject to change based on the Adjustment Amount the calculation of which is determined based on the methodology set forth in Exhibit 3 to the Asset Purchase Agreement that is included as Confidential Attachments 4.a. and 4.b. to the Direct Testimony of Stephen Wesley Owens.

1 supported by Commission Decision D.99-10-064 and the Public Water System Investment and
2 Consolidation Act of 1997 (“Consolidation Act”), codified at Public Utilities Code Sections
3 2718-2720. The Legislature enacted the Consolidation Act to facilitate the acquisitions by Class
4 A water utilities and to:

5 ...aid water systems in making infrastructure improvements, to
6 meet increasingly stringent state and federal drinking water laws,
7 to recognize that economies of scale are achievable in the
8 operation of public water systems, and to provide water
9 corporations with incentives to achieve economies that benefit
10 ratepayers.²⁰

11 Public Utilities Code Section 2720(a) provides that the Commission “shall use the
12 standard of fair market value when establishing the rate base for the distribution system of a
13 public water system acquired by a water [utility]. This standard shall be used for ratesetting.”
14 Public Utilities Code Section 2720(a)(2) defines “fair market value” as having the meaning set
15 forth in Code of Civil Procedure Section 1263.320, which states that fair market value is “the
16 highest price ... that would be agreed to by a seller, being willing to sell but under no particular
17 or urgent necessity for doing, nor obligated to sell, and a buyer, being ready, willing, and able to
18 buy but under no particular necessity for doing so.” The Act, therefore, requires that any water
19 corporation acquiring a public water system use the fair market value as the rate base value of
20 the acquired distribution system.

21 Applicants request that the Commission authorize inclusion of the full purchase price
22 reached through the Asset Purchase Agreement into California American Water’s rate base. As
23 described above, this purchase price is the result of arms’ length negotiations between a willing
24 and knowledgeable buyer and seller. The total purchase price therefore represents the fair market
25 value for the assets purchased, pursuant to Public Utilities Code Section 2720 and Code of Civil
26 Procedure Section 1263.320(a).

27 D.99-10-064 specifically recognizes that Public Utilities Code Sections 2718-2720
28 require that any water corporation acquiring a public water system use the fair market value as

²⁰ D.99-10-064, p. 2.

the rate base value of the acquired distribution system.²¹ It should also be noted that, as the appraisal makes clear, the value of the rate base being requested is well below the replacement or reproduction cost new less depreciation value for the system. In addition to being required by statute, the ratemaking requested is in the public interest. The purchase of Bass Lake's assets by California American Water supports and furthers the long-term provision of safe, reliable, and affordable water and services to current Bass Lake customers.

B. Rate Impact

Immediately after the application is approved and the transaction closes, the rates applicable for water service in the Bass Lake service area would be the rates already established by Bass Lake at the time of closing. California American Water proposes integration for ratemaking purposes take place in the GRC scheduled to be filed as an application on July 1, 2022, for Test Year 2024. In the interim, between when the sale closes and California American Water's 2022 GRC becomes effective (i.e. January 1, 2024), California American Water requests approval to file standard CPI-U rate increases, as allowed for Class C or D utilities, for the former Bass Lake service area. For example, if the sale closes late 2022, California American Water would file for a CPI-U increase for the Bass Lake customers effective January 2023. Bass Lake would then be consolidated in 2024, so CPI-U increase filings would no longer be necessary. As provided in Commission standard practice, these CPI-U increases would be subject to an earnings test based on the rate base determination from this proceeding.²²

California American Water proposes \$4.45 million of the purchase price be allocated to its Northern Division, including to Bass Lake customers, and the remaining \$1.48 million be allocated to the Corporate Office, which would be spread to customers statewide. This proposes to ensure the revenue requirement related to the acquisition not covered by revenues generated by Bass Lake customers at present rates would be spread over all post-acquisition customers of

²¹ D.99-10-064, p. 2.

²² See Cal. Pub. Util. Comm'n, Division of Water and Audits, Standard Practice U-27-W, revised April 16, 2014, at ¶65, available at <http://docs.cpuc.ca.gov/PublishedDocs/Published/G000/M090/K002/90002198.PDF>.

1 California American Water on a proportional basis. It is estimated that including the rate
2 increase caused by the acquisition and spreading that impact over all California American Water
3 customers results in an increase in the overall-post acquisition (i.e., including the Bass Lake
4 system) California American Water revenue requirement of 0.48%. However, 0.41% of that
5 increase is due to revenues that Bass Lake currently generates and would bring to consolidation,
6 so 0.39% of the increase does not reflect an increase in cost to California American Water or
7 Bass Lake customers. The remaining increase in revenue requirement would be just 0.07%
8 spread across all customers. For illustrative purposes, it may be constructive to estimate what
9 the rate impact if the consolidation were implemented immediately. Based on certain
10 assumptions, the impact would be a \$0.06 per month on potable water customer bills.

11 **C. Memorandum Accounts**

12 California American Water seeks authorization to track certain costs in the memorandum
13 accounts discussed below. Costs included therein may be considered for recovery in subsequent
14 GRCs. Establishing memorandum accounts does not guarantee recovery of costs. It is simply a
15 first step in the recovery process. To establish a memorandum account, the expense to be
16 tracked may be reviewed using the following four criteria: (1) they are caused by an event of an
17 exceptional nature not under the control of the utility; (2) they cannot have been reasonably
18 foreseen; (3) they are of a substantial nature in monetary terms; and (4) ratepayers benefit from
19 the memorandum account treatment.

20 **1. Bass Lake Acquisition Contingency Memorandum Account**

21 Although integration of Bass Lake for ratemaking purposes will not take place until 2024,
22 it is still necessary for California American Water to obtain recovery of the approved acquisition
23 consideration paid in the interim period. To track this revenue requirement associated with the
24 acquisition, California American Water requests authority to create the BLACMA to track lost
25 revenue from all affected entities until the acquisition can be integrated for ratemaking purposes
26 as part of a subsequent GRC. This account would capture the differences between revenues
27 billed at current Bass Lake rates and revenues that would have been billed under the final rates
28

effective January 1, 2021²³, if the Bass Lake system were integrated for ratemaking following the decision in California American Water's 2019 GRC. The associated revenue requirement will consist of items including, but not limited to, return on investment, ad valorem tax, depreciation, general office costs, other taxes and fees, and incremental operating expenses.

As is discussed in testimony, the expense is caused by an event of an exceptional nature not under California American Water's control. The sale of a 1,000-connection water system is not a common occurrence at the Commission, which is why an application and Commission approval is required. The acquisition could not be included in the Company's last GRC because California American Water executed a contract to purchase Bass Lake just before the Company had to file its last GRC, and the Application to acquire Bass Lake is not being filed until after the decision in the Company's last GRC was issued. The expense is also of an exceptional nature. The Commission decides the proceeding's schedule and the date of the ultimate decision, so timing is not within California American Water's control. Finally, ratepayers will benefit because it encourages consolidation of smaller utilities into larger ones, which the Commission, SWRCB, and Legislature have recognized is important.

To provide timely recovery of costs tracked in the BLACMA, California American Water requests authorization to file advice letters for recovery on an annual basis beginning on March 1, 2023 for costs tracked in 2022. A subsequent advice letter would be filed on March 1, 2024 for costs tracked in the year 2023. Further advice letters should not be necessary as the acquisition should be fully incorporated into California American Water's revenue requirement beginning with the Test Year 2024.

2. Bass Lake Transaction Cost Memorandum Account

As with any acquisition, transaction costs will occur. Such costs may include outsourced services, such as legal, engineering, surveying, the appraisal, noticing costs, and other professional activities. As is discussed in testimony, the BLTCMA meets all the requirements

²³ This differential capture would include differences in all revenue requirements of all entities in California American Water where a difference may occur due to the inability to capture the Bass Lake acquisition in the prior California American Water GRC.

1 for establishing a memorandum account. Indeed, the Commission has approved similar accounts
2 in certain recent acquisitions.²⁴

3 **3. Bass Lake Meter Installation Memorandum Account**

4 As described in testimony, the majority of Bass Lake's connections are unmetered. The
5 Public Utilities Code requires that by 2025, all of Bass Lake's connections be metered.
6 California American Water will need to quickly implement a metering program post acquisition
7 to even attempt to meet these metering requirements. It is critical that a decision is rendered
8 expeditiously in this proceeding so the Company can begin meter installations. It is also critical
9 that the BLMIMA be approved so that funds spent on metering can be tracked for possible future
10 recovery. Meeting the requirement is an event of exceptional nature. It is beyond the utilities
11 control. Likewise, it could not have been anticipated in California American Water's most
12 recent GRC. The Company does not control the timing and the expense is substantial in nature.
13 Finally, ratepayers will benefit because, among other things, meters encourage greater efficiency
14 in water use and less waste. A similar memorandum account was approved in California
15 American Water's Fruitridge Vista acquisition.²⁵

16 **4. Memorandum Account for Environmental Improvements and** 17 **Compliance Issues for Applications**

18 California American Water also requests Commission approval to expand the currently
19 authorized memorandum account entitled "Memorandum Account for Environmental
20 Improvements and Compliance Issues for Acquisitions." That approval would allow California
21 American Water to record in that account the same type of costs in connection with the Bass
22 Lake acquisition as California American Water was allowed to record in that account for the
23

24 ²⁴ D.19-04-015, *Application of California-American Water Company, Rio Plaza Water Company, Inc.,*
25 *and John Chris Nickel, Sr., Trustee for the John C. Nickel Trust for an Order Authorizing the Sale of all*
26 *Shares of Rio Plaza Water Company, Inc. to California-American Water Company and Approval of*
27 *Related Matters*, dated April 25, 2019, pp. 33-35; D.19-12-038, *Joint Application of California-American*
28 *Water Company (U210W) and Cook Endeavors d/b/a Fruitridge Vista Water Company (U136W) for an*
Order Authorizing Cook Endeavors to Sell and California-American Water Company to Purchase the
water utility assets of Cook Endeavors, dated December 19, 2019 ("D.19-12-038"), pp. 19-21.

²⁵ D.19-12-038, p. 18.

1 acquisitions of the Dunnigan, Geyserville, Meadowbrook, Fruitridge Vista, East Pasadena, and
2 Rio Plaza water systems.²⁶ The costs to address environmental compliance and required
3 improvements have yet to be determined. Such costs are not under the utility's control, nor can
4 they be reasonably foreseen. Compliance with such requirements, however, ensures safety,
5 benefitting ratepayers. The proposed memorandum account treatment helps make certain that
6 the requisite capital expenditures will be subject to regulatory oversight and that funds will be
7 used judiciously.

8 **V. THE ACQUISITION COMPLIES WITH COMMISSION DECISIONS 99-10-064**
9 **AND 20-08-047**

10 After the Legislature passed the Consolidation Act, which added Sections 2718, 2719,
11 and 2720 to the Public Utilities Code, the Commission instituted Rulemaking 97-10-048 to set
12 guidelines for acquisitions and mergers of water companies. The final decision in that
13 proceeding approved the terms of a settlement agreement included as Appendix D to D.99-10-
14 064. That Appendix contains guidelines for mergers and acquisitions of water utilities in
15 accordance with Public Utilities Code Sections 2718, *et seq.* Relevant sections from the
16 settlement from D.99-10-064 support granting this Application. More recently, in D.20-08-047,
17 the Commission affirmed data elements required in D.99-10-064:

- 18 • A copy of the purchase agreement;
- 19 • A copy of any appraisals conducted in the past five years;
- 20 • A forecast of the results of operation for (1) the acquiring utility, (2) the acquired
21 utility, and (3) the combined operation;
- 22 • A list of all assets funded by the state or federal government and contributions;
- 23 • Assets funded by contributions; and
- 24 • Indication of compliance orders for failures to meet drinking water standards.

25
26 ²⁶ Because California American Water is not seeking to establish a new memorandum account, meeting
27 the prerequisites for creating such an account is not necessary. That said, because of the nature of the
28 costs to be tracked, such prerequisites are nonetheless satisfied. The memorandum account treatment
helps make certain that the requisite capital expenditures will be subject to regulatory oversight and that
funds are used judiciously.

1 As noted above, a copy of the Asset Purchase Agreement and the Amendment are
2 included as Confidential Attachments 4.a. and 4.b. to Stephen Wesley Owen’s Testimony. The
3 forecast of the results of operation for the acquiring utility, the acquired utility, and the combined
4 operation for the first and fifth years following the acquisition are included as Attachment 1 to
5 this Application.

6 An appraisal, the only one for the Bass Lake System in the last five years, with all
7 supporting materials and work papers is included as Attachment 2 to the Testimony of Kevin
8 Zanni. The appraisal “should include[s] all assets, including the value of the land and the cost of
9 replacing the existing improvements, less accumulated depreciation.” The appraisal includes a
10 Replacement and Reproduction Cost New Less Depreciation (“RCNLD”), which determined the
11 total system valuation is between \$9.5 million and \$11.6 million – well in excess of the purchase
12 price. “[T]he cost of any plant or improvement ... funded by a loan from the federal or state
13 government” were identified and not included in the appraisal. Assets funded by contributions
14 were also included in the appraisal.

15 Outstanding compliance orders are addressed in Frank Brommenschenkel’s testimony.
16 He explains the plans underway to ensure the system meets compliance requirements before
17 closure of the sale to California American Water.

18 D.99-10-064, Appendix D, Section 4.03 states notice of a proposed acquisition should be
19 given to all affected customers at the time when the application is filed with the Commission.²⁷
20 Copies of the draft notices are included as Attachment 2 to this Application. The notices will be
21 sent to Bass Lake customers and to all California American Water customers statewide as soon
22 as the Commission assigns an application number in this proceeding and the Commission’s
23 Public Advisor’s Office has reviewed and approved the notices.

24 D.99-10-064, Appendix D, Section 5.00 states the utility needs to address how it will
25 finance the acquisition. It may either file an application for the long-term debt financing of a
26

27
28 ²⁷ Technically, this Section 4.03 may apply only to the acquisition of mutual and publicly-owned water systems.

1 particular acquisition or rely on authorization previously given by the Commission. The
2 acquisition of Bass Lake is small compared to the size of California American Water and the
3 Company already has sufficient financing authority to complete the transaction. With respect to
4 long-term debt financing, in D.18-07-013, the Commission authorized California American
5 Water to issue up to \$359,450,000 in long-term debt for the following purposes: “the
6 refinancing of existing debt, upgrade aging infrastructure, addition of new facilities and
7 infrastructure, *acquisition of other utility property and systems*, reimbursement of its treasury
8 for capital expenditures, and support for the construction of the Monterey Peninsula Water
9 Supply Project.”²⁸

10 In addition to affirming the data elements from D.99-10-064, Appendix D, the
11 Commission in D.20-08-047 adopted minimum data requirements (“MDRs”) for acquisition
12 applications. The MDR responses are included as Attachment 3 to this Application. This
13 Application, therefore, meets and exceeds the guidelines for acquisitions set forth in D.99-10-
14 064 and D.20-08-047, so the Application should be approved.

15 **VI. SAFETY**

16 California American Water is committed to the safety of its employees and customers.
17 The Company’s commitment to worker safety is reflected in its work to eliminate OSHA
18 recordable incidents and provide training to employees. California American Water has
19 implemented extensive safety programs designed to protect both its workforce and customers.
20 Such a commitment to safety supports the acquisition at issue in this Application. California
21 American Water’s efforts and resources in that area will benefit Bass Lake customers.

22 **VII. CEQA REVIEW IS NOT REQUIRED**

23 Rule 2.4 of the Commission’s Rules of Practice and Procedure requires applications to
24 address the applicability of the California Environmental Quality Act (“CEQA”) to the proposed
25 project or transaction that is the subject of the application. The transaction described in this
26 Application is not subject to CEQA because it does not constitute a “project” within the meaning

27
28 ²⁸ D.18-07-013, at p. 15, Finding of Fact 5 (emphasis added).

1 of CEQA. Projects under CEQA are those specifically defined as any “activity which may cause
2 either a direct physical change [to] the environment, or a reasonably foreseeable indirect physical
3 change in the environment.”²⁹ A proposed “activity [that] will not result in a direct or
4 reasonably foreseeable indirect physical change in the environment” is not subject to CEQA.³⁰
5 Similarly, where “it can be seen with certainty that there is no possibility that the [proposed]
6 activity in question may have a significant effect on the environment, the activity is not subject
7 to CEQA.”³¹

8 This Application seeks Commission approval of the acquisition of Bass Lake assets by
9 California American Water. Critically, once sold and transferred, there will be no change in the
10 operation of the Bass Lake assets. They will be used and operated in the same manner and for
11 the same purposes for which they are currently being used – to provide water service. The
12 Commission has consistently held such a transfer of control and operation of existing water
13 system facilities does not result in any changes to the environment, and thus, an application
14 seeking authorization for such a transaction is not subject to CEQA.³² Here, also, because it is
15 clear that no “direct or reasonably foreseeable indirect physical change in the environment” will
16 occur as a result of the proposed transaction, it is not subject to the provisions of CEQA.

17 **VIII. OTHER PROCEDURAL REQUIREMENTS**

18 **A. Communications Concerning Application**

19 All communications and correspondence with the Applicants should be directed to:
20
21

22 ²⁹ See Cal. Pub. Res. Code § 21065.

23 ³⁰ CEQA Guidelines at § 15060(c)(2).

24 ³¹ CEQA Guidelines at § 15061(b)(3).

25 ³² D.13-01-033, *Application of California-American Water Company (U210W) and Garrapata Water*
26 *Company (U212W) for an Order Authorizing Garrapata Water Company to Sell and California-*
27 *American Water Company to Purchase the Assets of Garrapata Water Company*, dated January 24, 2013,
28 pp. 8-9; D.11-03-016, *Application of Watertek, Inc. a California corporation (U420W) for Authority to*
Sell and Del Oro Water Co., Inc (U61W) for Authority to Buy the Watertek, Inc. Water Utilities (Grand
View Gardens and East Plano) in Tulare County and (Metropolitan) in Fresno County, dated March 10,
2011, pp. 9-10.

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B. Articles of Incorporation and Financial Statements

A copy of Bass Lake's articles of incorporation is included as Attachment 4 to this Application. Bass Lake's most recent balance sheet and income statements were provided to Water Division in connection with the 2020 Annual Report.

California American Water filed a certified copy of its articles of incorporation with the Commission on January 6, 1966, in Application 48170. California American Water filed a certified copy of an amendment to its articles of incorporation with the Commission on November 30, 1989, in Application 89-11-036. California American Water filed a certified copy of a further amendment to its articles of incorporation with the Commission on February 28, 2002, in Application 02-02-030. California American Water filed, with the Commission, a certified copy of an additional amendment to its articles of incorporation with Application 17-04-003. California American Water has not subsequently amended its articles of incorporation.

C. Categorization and Schedule

Applicants request that the Commission classify this Application as ratesetting. The issues presented in the Application are whether the transaction will serve the public interest, whether California American Water is financially qualified to acquire Bass Lake, whether California American Water is qualified to operate the Bass Lake system, what the appropriate rate base for the acquisition should be, and whether the Commission should approve the several

rate-setting procedures and memorandum accounts proposed herein. Applicants believe these issues should not raise material contested issues of fact, so hearings may not be required.

The following schedule is consistent with D.99-10-064, including the schedule provided in the settlement attached to that decision between the Ratepayer Representation Branch of Water Division (predecessor to Public Advocates Office) and the Class A water utilities.

Application Filed	February 28, 2022 (Day 0)
Protests Filed	30 days after Application filed
Replies to Protest	40 days after Application filed
Prehearing Conference	45 days after Application filed
Cal PA's Report	90 days after Application filed
Hearings (if required)	120-125 Days after Application filed
Briefs	155 Days after Application filed
Proposed Decision	215* Days after Application filed
Commission's Agenda	245** Days after Application filed

* Or 60 days after the case is submitted.

** Or 90 days after the case is submitted.

IX. NOTICE AND SERVICE OF THE APPLICATION

As soon as the Commission has accepted this Application for filing and assigned it an application number and the notices have been approved by the Commission's Public Advisor's Office, Bass Lake will provide notice of the proposed transaction and the filing of this Application to its customers and California American Water will provide notice to all of its customers statewide. Copies of the draft notices are included as Attachment 2 to this Application.

A copy of this Application is also being served on those persons and entities set forth on the service list for the Application.

X. CONCLUSION

For the reasons set forth above, the Applicants respectfully request that the Commission

1 issue an order approving this Application and granting each and every request made herein.

2
3
4 DATED: March 1, 2022

Respectfully submitted,

5 By /s/ Frank Brommenschenkel

By /s/ Nicholas A. Subias

6 Frank Brommenschenkel

Nicholas A. Subias

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14 For Bass Lake Water Service, Inc.

Attorneys for Applicant
California-American Water Company

I, Stephen R. Welch, declare and verify the following:

1. This verification is made in connection with the "Joint Application of California-American Water Company (U-210-W) and Bass Lake Water Service, Inc. (U-190-W) for an Order Authorizing Bass Lake Water Company to Sell and California-American Water to Purchase the Water Utility Assets of Bass Lake Water Company" (the "Application").

2. I am the President of Bass Lake Water Company (U-190-W), a party to the Application. I have read the Application. As to those matters in the Application of which I have personal knowledge, I verify them to be true. As to those matters stated on information and belief, I believe them to be true.

3. I declare, under penalty of perjury under the laws of the State of California, the foregoing is true and correct.

Executed this 25th day of February, 2022 at Bass Lake, California.



Stephen R. Welch

VERIFICATION


I, Jeffrey T. Linam, declare and verify the following:

1. This verification is made in connection with the "Joint Application of California-American Water Company (U-210-W) and Bass Lake Water Service, Inc. (U-190-W) for an Order Authorizing Bass Lake Water Company to Sell and California-American Water to Purchase the Water Utility Assets of Bass Lake Water Company" (the "Application").

2. I am the Senior Director Rates and Regulatory for California-American Water Company, a party to the Application. I have read the Application. As to those matters in the Application of which I have personal knowledge, I verify them to be true. As to those matters stated on information and belief, I believe them to be true.

3. I declare, under penalty of perjury under the laws of the State of California, the foregoing is true and correct.

Executed this 28 day of February, 2022, at San Diego, California.


Jeffrey T. Linam

ATTACHMENT 1

**California American Water Company
Bass Lake Water Company Acquisition**

**Revenue Requirement Assuming Consolidation
(\$ in Thousands)**

**Application Attachment 1
Testimony Attachment 1
of S.W. Owens**

	Bass Lake Forecast	Bass Lake Standalone Post-Acquisition Without Increase/Decrease in Rates	Bass Lake Standalone Post- Acquisition Earning Authorized ROR	Bass Lake Revenue Supported Rate Base	Revenue Requirement of Rate Base Transferred to Corporate	CAW Forecasted (per D.21-11- 018 and A.21- 05-001)	Combined
	Year 2022 (1)	Year 2022 (2)	Year 2022 (3)	Year 2022 (4)	Year 2022 (5)	Year 2022 (6)	Year 2022 (7) = (3) + (6)
Operating Revenues							
Total Revenue	1,146.3	1,146.3	1,351.6	1,146.3	205.3	280,125.2	281,477
Operation & Maintenance Exp							
Total O&M expenses	687.5	517.9	517.9	517.9	-	172,284.2	172,802
Depreciation	102.2	137.3	137.3	111.2	26.2	33,809.0	33,946
General Taxes	61.3	73.5	73.5	49.6	24.0	9,235.5	9,309
Total Operating Expenses	850.9	728.7	728.7	678.6	50.1	215,328.6	216,057
Income Before Income Taxes	295.4	417.6	622.9	467.7	155.2	64,796.6	65,419
Total Income Taxes	81.6	115.4	172.1	129.2	42.9	10,507.5	10,680
TOTAL EXPENSES	932.6	844.1	900.9	807.9	93.0	225,836.1	226,737
Utility Operating Income	213.7	302.2	450.8	338.4	112.3	54,289.1	54,740
Average Rate Base	3,067.0	5,923.3	5,923.3	4,446.5	1,476.8	713,391.3	719,315
Return on Rate Base	6.97%	5.10%	7.61%	7.61%	7.61%	7.61%	7.61%
% Revenue Increase Attributed to Bass Lake Customers:							0.41%
% Revenue Increase Attributed to CAW customers:							0.07%
Total % Revenue Increase:							0.48%

Notes:

Assumes acquisition closes in 2022 per CPUC approved processing schedule approved in D.99-10-064 and estimated 30 to 90 days post-Decision to close

Modeling assumes synergies incorporated in Y1 (see Testimony of Stephen Wesley Owens)

Forecasts assume authorized escalation year 2022 summary of earnings (per D.21-11-018) with proposed cost of capital (pending Application 21-05-001)

ATTACHMENT 2

Para más información en cómo este cambio impactará su factura, llame al (559) 642-2494

NOTICE OF APPLICATION
California American Water's Request to Acquire Bass Lake Water Company
APPLICATION [A.XX-XX-XXX]

Why am I receiving this notice?

On February XX 2022, California American Water filed its Application to acquire the Bass Lake Water Company (A.XX-XX-XXX) with the California Public Utilities Commission (CPUC).

If approved by the CPUC, California American Water will acquire Bass Lake's assets, serve Bass Lake's customers, and include Bass Lake's service area into California American Water's CPUC approved service territory.

Why is California American Water requesting this acquisition?

Bass Lake includes approximately 1,000 customer service connections. It owns and operates a water production, storage and distribution system consisting of wells, meters, mains and distribution lines. The integration of the Bass Lake system into California American Water's existing operations is expected to create greater economies of scale and synergies, which would benefit both existing customers and Bass Lake customers over time. The acquisition also helps to ensure the provision of safe and reliable water service for Bass Lake and California American Water customers now and in the future.

How could this affect my water bill?

Upon approval of this application, your rates will not be directly affected until 2024.

If implemented immediately upon approval, the proposed acquisition would result in an approximate 0.07% cost of service increase. Based on current rates for a typical residential Bass Lake customer with a bill of \$80.89, this would amount to a \$0.06 cent monthly increase. The actual amounts of any rate changes will be determined through a General Rate Case (GRC) process. California American Water expects to file its next GRC in July of 2022, for rates to take effect in 2024. California American Water will propose the Bass Lake service area be consolidated into its Northern Division for ratemaking purposes. Bass Lake customers are likely to see a change in rates based on the conversion from flat service to metered use as well as from the 2024 GRC proceeding, but the magnitude and impact is unclear until the GRC decision is adopted in late 2023 at the earliest.

How does the rest of this process work?

This application will be assigned to a CPUC Administrative Law Judge who will consider proposals and evidence presented during the formal hearing process. The Administrative Law Judge will issue a proposed decision that may adopt California American Water's application, modify it, or deny it. Any CPUC Commissioner may sponsor an alternate decision with a different outcome. The proposed decision, and any alternate decisions, will be discussed and voted upon by the CPUC Commissioners at a public CPUC Voting Meeting.

Parties to the proceeding will review California American Water's application, including the Public Advocates Office, which is an independent consumer advocate within the CPUC that represents customers to obtain the lowest possible rate for service consistent with reliable and safe service levels. For more information about the Public Advocates Office, please call 1-415-703-1584, email PublicAdvocatesOffice@cpuc.ca.gov, or visit PublicAdvocates.cpuc.ca.gov.

Where can I get more information?

Contact Bass Lake Water Company:

Phone: (559) 642-2494

Email: Stevew@basslakerealty.com

Mail: P.O. Box 113

Bass Lake, CA 93604

A copy of the Application and any related documents may also be reviewed at www.amwater.com/rate_app

Contact CPUC

Please visit apps.cpuc.ca.gov/AXXXXXXX to submit a comment about this proceeding on the CPUC Docket Card. Here you can also view documents and other public comments related to this proceeding.

Your participation by providing your thoughts on California American Water's request can help the CPUC make an informed decision.

If you have questions about CPUC processes, you may contact the CPUC's Public Advisor's Office at:

Phone: **1-866-849-8390** (toll-free)

Email: Public.Advisor@cpuc.ca.gov

Mail: CPUC Public Advisor's Office

505 Van Ness Avenue

San Francisco, CA 94102

Please reference **Application XX-XX-XXX** in any communications you have with the CPUC regarding this matter.

Para más información en cómo este cambio impactará su factura, llame al [1-888-237-1333].

NOTICE OF APPLICATION
California American Water's Request to Acquire Bass Lake Water Company
APPLICATION [A.XX-XX-XXX]

Why am I receiving this notice?

On March XX 2022, California American Water filed its Application to acquire the Bass Lake Water Company (A.XX-XX-XXX) with the California Public Utilities Commission (CPUC).

If the CPUC approves this application, California American Water will in a future general rate case ("GRC") seek to consolidate its Bass Lake customers with those of the Sacramento District, for ratemaking purposes. Potential rate changes will be addressed in future GRCs. California American Water expects to file its next GRC in July of 2022, for rates to take effect in 2024. You will receive notice of the GRC proceedings.

Why is California American Water requesting this acquisition?

Bass Lake includes approximately 1,000 customer service connections. It owns and operates a water production, storage and distribution system consisting of wells, meters, mains and distribution lines. The integration of the Bass Lake system into California American Water's existing operations is expected to create greater economies of scale and synergies, which would benefit both existing customers and Bass Lake customers over time. The acquisition also helps to ensure the provision of safe and reliable water service for Bass Lake and California American Water customers now and in the future.

How could this affect my water bill?

If California American Water's application is approved by the CPUC, customers could see a 0.07 percent increase in cost of service beginning in 2024.

How does the rest of this process work?

This application will be assigned to a CPUC Administrative Law Judge who will consider proposals and evidence presented during the formal hearing process. The Administrative Law Judge will issue a proposed decision that may adopt California American Water's application, modify it, or deny it. Any CPUC Commissioner may sponsor an alternate decision with a different outcome. The proposed decision, and any alternate decisions, will be discussed and voted upon by the CPUC Commissioners at a public CPUC Voting Meeting.

Parties to the proceeding will review California American Water's application, including the Public Advocates Office, which is an independent consumer advocate within the CPUC that represents customers to obtain the lowest possible rate for service consistent with reliable and safe service levels. For more information about the Public Advocates Office, please call 1-415-703-1584, email PublicAdvocatesOffice@cpuc.ca.gov, or visit PublicAdvocates.cpus.ca.gov.

Where can I get more information?

Contact for California American Water – Kamilah Jones

Phone: (916) 568-42XX

Email: Kamilah.jones@amwater.com

Mail: 4701 Beloit Drive
Sacramento, CA 95838

A copy of the Application and any related documents may also be reviewed at
www.amwater.com/rate_app

Contact CPUC

Please visit **apps.cpuc.ca.gov/AXXXXXXX** to submit a comment about this proceeding on the CPUC Docket Card. Here you can also view documents and other public comments related to this proceeding.

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505 Van Ness Avenue
San Francisco, CA 94102

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ATTACHMENT 3

Minimum Data Requirement Responses

In accordance with R.17-06-024 /D.20-08-047, below are Minimum Data Requirement responses in support of the Joint Application for an Order Authorizing Sale and Purchase of Utility Property.

1. Estimate the potential monthly incremental cost impact on existing and acquired customers based on Buyer's most recently authorized tariffs.

Immediately following California American Water's acquisition of Bass Lake Water, there are no expected monthly incremental cost impacts to existing or acquired customers until 2024. See Direct Testimony of Stephen Wesley Owens ("Owens Direct") Attachment 2a for bill comparisons based on current authorized tariffed rates, as last approved through Advice Letter 1353, with estimated 0.09% increase.

a. If a Buyer has pending request before the California Public Utilities Commission ("Commission") to change rates, it must also calculate the above using data as proposed in its pending request.

California American Water has the following rate changes pending before the CPUC:

- Advice Letter 1356 – Northern Division 2022 Step Rate Adjustment.
- Advice Letter 1357 – Central Division 2022 Step Rate Adjustment.
- Advice Letter 1358 – Southern Division 2022 Step Rate Adjustment.
- Advice Letter 1359 – Northern Division Annual Consumption Adjustment Mechanism ("ACAM") Adjustment.
- Advice Letter 1360 – Central Division 2022 ACAM Adjustment.
- Advice Letter 1361 – Southern Division 2022 ACAM Adjustment.
- Advice Letter 69-S – Monterey Wastewater 2022 Step Rate Adjustment.
- Advice Letter 70-S – Dunnigan Wastewater 2022 Step Rate Adjustment.
- Application 21-05-001 – Application of California-American Water Company for Authority to Establish its Authorized Cost of Capital for the Period from January 1, 2022 through December 31, 2024.
- Application 21-11-024 – Application of California-American Water Company to Obtain Approval of the Amended and Restated Water Purchase Agreement for the Pure Water Monterey Groundwater Replenishment Project, Update Supply and Demand Estimates for the Monterey Peninsula Water Supply Project, and Cost Recovery.

Bass Lake Water Company has the following anticipated rate changes pending future approval by the CPUC:

- Implementation of State Revolving Fund (SRF) surcharges as approved in Resolution W-5248.

2. If the Buyer is seeking authority to increase the acquired system's rates to a certain level, please state the basis for the targeted rate and period of time for

such targeted rate to be implemented.

N/A

- 3. Provide the annual depreciation expense using the proposed rate base of the acquired assets. If the exact depreciation expense is not available, provide the best estimate of the annual depreciation expense. Show how the depreciation expense is calculated.**

See MDR Response 3 Attachment included with these Responses.

- 4. Provide an estimate of the annual revenue requirement of the system proposed to be acquired. Provide the assumptions for the annual revenue requirement, including expected rate of return, expected depreciation expense, O&M expenses, etc.**

See Owens Direct, Attachment 1, column “(1).”

- 5. Other than the revenue requirement data requested above, separately identify all other approved and/or intended impacts to customer bills (i.e., surcharges, passthrough fees, etc.).**

California American Water does not anticipate applying any surcharges or pass-through costs approved for Bass Lake Water Company to California American Water’s current customers. If, as anticipated, Bass Lake customers are included in California American Water’s Customer Assistance Program, these customers would be subject to the same funding surcharge as applicable to current California American Water customers. This surcharge is currently \$1.81 per-customer per-month for all customers not enrolled in the program.

- 6. Provide a listing of any entities that currently receive free service from the acquired utility.**

As discussed in Frank Brommenschenkel’s Testimony, Bass Lake reports no customers currently receive free water. See Brommenschenkel Direct Testimony p. 3, Q/A 10.

- 7. If the acquired utility has increased rates in the last year, please state the date of the increase and provide a copy of the new rate schedule and the total annual revenues projected under the new rates.**

On April 15, 2021, Bass Lake increased customer rates pursuant to Resolution W-5235, which authorized a rate base offset and revenue increase of \$200,807 or 23.35%. Additionally, Bass Lake has two outstanding requests for rate increases pending Commission approval. Advice Letter 85-W was filed on June 9, 2021, requesting a Rate Base Offset rate increase of \$15,492 or 1.4%. Subsequently, Bass Lake submitted Advice Letter 87-W on Sep 2, 2021, requesting to impose a surcharge on all customers to repay a State Revolving Fund Loan (“SRF”). On January 13, 2022, in W-5248, the Commission granted the request for a surcharge to repay the SRF. The surcharge may not be placed in effect until six months prior to completion of the project to construct a new surface water treatment plant. The current monthly bill for a ¾-inch commercial metered customers using approximately 20 hundred cubic feet of water and for ¾-inch flat-rate residential service customer are expected to increase by \$61.63 based on this

surcharge. See MDR Response 7 Attachment for copies of new rate schedules.

- 8. Are there any leases, easements, and access to public rights-of-way that Buyer expects to be needed in order to provide service which will not be conveyed at closing? If yes, identify when the conveyance will take place and whether there are expected to be additional costs involved.**

At this time, it is not expected there are any leases, easements, or accesses to public rights-of-way that California American Water will need to operate the system that are not being conveyed. It is possible in the future that California American Water may need to obtain a franchise agreement if such an agreement is requested by the county.

- 9. Provide a breakdown of the estimated transaction and closing costs. Provide invoices to support any transaction and closing costs that have already been incurred.**

A table is provided below for invoices supporting already incurred costs, see “MDR Response 9 Attachment” for related invoices. In terms of estimated future costs, that will depend on many factors, including the amount of opposition involved and the complexity of issues that arise. For example, legal closing costs on recent acquisitions have ranged between \$15,000 and \$85,000. Similarly, if the consultants who worked on the appraisal must respond to discovery, provide rebuttal testimony, and testify at hearings, that will result in what can be sizeable additional costs in excess of the remaining deposit. In addition, there are expected to be extensive costs associated with noticing and Phase 1 environmental review of real property.

Incurred Acquisition Costs

Zuber (legal)	\$ 39,500.00
Brown and Caldwell (appraisal)	\$ 49,586.78
Willamette (appraisal)	\$ 123,955.70
West Water (appraisal)	\$ 15,043.75
Deposit (appraisal)	\$ 2,950.00

- 10. Describe known and anticipated general expense savings and efficiencies under Buyer’s ownership. State the basis for assumptions used in developing these savings and efficiencies and provide all supporting documentation for the assumptions.**

Discussions regarding anticipated cost savings are set forth in Owen's Direct, at p.13-14 Q/A 22 - 23; Stephen Audie Foster's Direct Testimony, at pp. 3-5, Q/A 7-11; and Frank Brommenschenkel's Direct Testimony, at pp. 4 - 6, Q/A 14 - 15.

11. Provide a copy of the Seller's request for proposals (if there was one) and any accompanying exhibits with respect to the proposed sale of the water system or water system assets.

N/A.

12. Provide a copy of the response to the request for proposals (if there was one) of the Buyer for the purchase of the acquired water system or water system assets.

N/A.

13. For each Utility Valuation Expert ("UVE") providing testimony or exhibits, please provide the following:

a. A list of valuations of utility property performed by the UVE in the last two years:

- See Kevin Zanni, of Willamette Management Associates, Direct Testimony at pp. 2-3, Q/A 6-7.
- See Matthew Payne, of WestWater Research, LLC, Direct Testimony at p. 2, Q/A 5.
- See Michael Wademan, of Brown and Caldwell, Direct Testimony at pp. 2-4, Q/A 6.

b. A list of appraisals of utility property performed by the UVE in the last two years:

- See Kevin Zanni, of Willamette Management Associates, Direct Testimony at pp. 2-3, Q/A 6-7.
- See Matthew Payne, of WestWater Research, LLC, Direct Testimony at p. 2, Q/A 5.
- See Michael Wademan, of Brown and Caldwell, Direct Testimony at pp. 2-4, Q/A 6.

c. A list of all dockets in which the UVE submitted testimony to a public utility commission or regulatory authority related to the acquisition of utility property in the last two years:

- See Kevin Zanni, of Willamette Management Associates, Direct Testimony at pp. 2-3, Q/A 6-7.
- See Matthew Payne, of WestWater Research, LLC, Direct Testimony at p. 2, Q/A 5.
- See Michael Wademan, of Brown and Caldwell, Direct Testimony at pp. 2-4, Q/A 6.

- d. An electronic copy of or electronic link to written testimony in which the UVE testified on public utility fair value acquisitions in the past two years.**

Parties that wish to obtain a copy of any written testimony in which the UVE testified on public utility fair value acquisitions in the past two years should contact:

Demetrio Marquez
Paralegal IV
California-American Water Company
555 Montgomery Street, Suite 816
San Francisco, CA 94111
Phone: (415) 293-3027
Email: demetrio.marquez@amwater.com

- 14. Explain each discount rate used in the appraisals and valuations, including explanations of the capital structure, cost of equity and cost of debt. State the basis for each input. Provide all sources, documentation, calculations and/or workpapers used in determining the inputs.**

See Kevin Zanni, of Willamette Management Associates, Direct Testimony at p. 4, Q/A 9, as well as Attachment 2 thereto, and the Exhibits and Appendix to that Attachment.

- 15. Explain whether the appraisal/valuation used replacement cost or reproduction cost and why that methodology was chosen.**

See Kevin Zanni, of Willamette Management Associates, Direct Testimony at p. 4, Q/A 10, as well as Attachment 2 thereto. Both replacement and reproduction are covered.

- 16. Explain the basis for any comparable acquisitions used in the appraisal/valuation including the purchase price and number of customers for each comparable acquisition.**

N/A

- 17. Are there any outstanding compliance issues, including but not limited to water quality violations, that the Seller's system has pending with the Board's Division of Drinking Water? If yes, provide the following information:**

- a. Identify the compliance issue(s):**

Frank Brommenschenkel's Direct Testimony, at pp. 3 - 4, Q/A 11 – 12.

- b. Provide an estimated date of compliance:**

Frank Brommenschenkel's Direct Testimony, at pp. 3 - 4, Q/A 11 – 12.

c. Explain Buyer's anticipated or actual plan for remediation:

These issues are expected to be remediated before buyer would close on the purchase, so the seller has set forth the plan, see Frank Brommenschenkel's Direct Testimony, at pp. 3 - 4, Q/A 11 – 12.

d. Provide Buyer's estimated costs for remediation:

These issues are expected to be remediated before buyer closes on the purchase, so seller has provided the costs, see Brommenschenkel's Direct Testimony, at pp. 3 - 4, Q/A 11 – 12.

e. Indicate whether the cost of remediation was or is anticipated to be factored into either or both fair market valuation appraisals offered in this proceeding.

The costs of remediation are not factored into the valuation appraisal. Seller has already obtained approval from the Commission through W-5248, which authorized a surcharge to repay the SRF loan associated with the improvements. Consistent with D.99-10-064, Appendix D, those portions of the remediation measures funded by the SRF were excluded from the valuation.

18. Are there any outstanding compliance issues that the Seller's system has pending with the US Environmental Protection Agency? If yes, provide the following information:

Bass Lakes reports there are no pending compliance issues with the US EPA, see Brommenschenkel's Direct Testimony, at p. 4, Q/A 13.

a. Identify the compliance issue(s):

N/A

b. Provide an estimated date of compliance:

N/A

c. Explain Buyer's anticipated or actual plan for remediation:

N/A

d. Provide Buyer's estimated costs for remediation; and,

N/A

Indicate whether the cost of remediation was or is anticipated to be factored into either or both fair market valuation appraisals offered in this proceeding.

N/A

19. Provide copies of all notices of a proposed acquisition given to affected customers.

The proposed notices are included as Attachment 2 to the Application.

20. Provide copies of all disclosures and customer notices required by Pub. Util. Code §10061 related to the sale and disposal of utilities owned by municipal corporations.

N/A

21. Describe other requests to be included in the application, including but not limited to requests for approval of:

a. Consulting, transition of service, water wholesaling, or other agreements:

N/A

b. Interim rate increases outside of a general rate case proceeding or other special rate treatment (e.g., CPI-U rate increases, or rate increases under Class C/D requirements):

California American Water requests to file standard CPI-U rate increases for Bass Lake as allowed for Class C utilities until Bass Lake is consolidated into California American Water's Northern Division in 2024. See Owens Direct at p. 9, Q&A18.

c. Facilities construction:

California American Water's installation of metering for the entire Bass Lake system.

d. Memorandum or Balancing Accounts.

Memorandum Accounts

California American Water requests the following memorandum accounts:

- Bass Lake Acquisition Contingency Memorandum Account.
- Bass Lake Transaction Cost Memorandum Account.
- Bass Lake Meter Installation Memorandum Account.
- Memorandum Account for Environment Improvements and Compliance Issues for Acquisitions.

22. Identify the ratepayer benefits that accrue to current ratepayers of the system being acquired due to this transaction.

Discussions regarding anticipated ratepayer benefits to Bass Lake customers are set forth in Owen's Direct, at p.13-15 Q/A 22 - 24; Stephen Audie Foster's Direct Testimony, at pp. 3-5, Q/A 7-11; and Frank Brommenschenkel's Direct Testimony, at pp. 4 - 6, Q/A 14 - 15.

23. Identify all actions the applicant has taken with governmental agencies

related to obtaining required permits and/or approvals to effectuate the acquisition.

California American Water will provide notice to governmental agencies and work with them, as it has done in its many recent acquisitions, to ensure the acquisition proceeds efficiently and those agencies are well-informed.

24. Provide all workpapers that support the testimony for each of the witnesses that accompany the application, in native format where possible.

Supporting workpapers, if required, are attached to each witnesses' testimony.

SUPPLEMENTAL INFORMATION

1. A list of recommended, proposed or required capital improvements to the acquired water system known at the time of the application, with cost estimates, if available;

As addressed in Owens Direct at pp.21-24, Q&A 29, California American Water estimates capital costs of \$5.858 million related to flat-to-meter conversions and length of service meter replacements. Additionally, California American Water estimates approximately \$55,000 in annual maintenance level capital expenditures through the next GRC cycle (2024-2027).

2. If applicable, supporting documentation for the designation of Disadvantaged Community:

N/A

3. If applicable, documents required by Pub. Util. Code Section 10061(c).

N/A

MDR Response 3 Attachment

Description			Installed Date	Remaining Useful Life	RCNLD	Annual Depreciation
Pines Wells 1 and 2						
Pines Well 1	1	LS	1994	49	\$26,853	\$548
5-hp Submersible Pump	1	LS	2015	5	\$9,041	\$1,808
Pines Well 2	1	LS	1995	4	\$2,055	\$548
10-hp Submersible Pump	1	LS	1995	6	\$12,064	\$1,946
2" Check Valve	2	EA	1994	4	\$99	\$25
2" Pressure Relief Valve	2	EA	1994	4	\$459	\$115
2" Propeller Flow Meter	2	EA	1994	4	\$347	\$87
2" Ball Valve	2	EA	1994	4	\$23	\$6
Chemical Metering Pump	1	EA	1994	14	\$823	\$60
5-gallon Polyehthylene Tank	1	EA	1994	18	\$43	\$2
4' x 6' Building	1	LS	1994	47	\$1,861	\$40
Electrical	1	LS	1994	20	\$14,237	\$730
Beasore Reservoir						
Beasore Reservoir	1	LS	2020	74	\$974,310	\$13,122
Pines Reservoir						
Pines Reservoir	1	LS	2001	56	\$1,009,493	\$18,027
Cedar Ridge Booster Station						
10-hp Centrifugal Booster Pump	1	EA	1995	14	\$5,027	\$368
4" Basket Strainer	1	EA	1995	5	\$225	\$45
4" Butterfly Valve	2	EA	1995	5	\$346	\$69
4" Gate Valve	2	EA	1995	5	\$315	\$63
2" Check Valve	1	EA	1995	5	\$62	\$12
2" Ball Valve	1	EA	1995	5	\$15	\$3
8' x 10' Building	1	EA	1995	47	\$2,918	\$63
Electrical	1	LS	1995	0	\$0	\$0
Cedar Ridge Tank						
67,800-gal Welded Corrugated Steel Tank	1	LS	1995	50	\$119,024	\$2,380
8" Check Valve	1	EA	1995	5	\$950	\$190
8" Gate Valve	2	EA	1995	5	\$1,127	\$225
4" Gate Valve	1	EA	1995	5	\$158	\$32
5,000-gallon Polyethelyene Tank	1	EA	1995	18	\$6,099	\$335
Electrical	1	LS	1995	0	\$0	\$0
H Tank						
150,000-gallon Bolted-Steel Tank	1	LS	1995	50	\$338,000	\$6,760
8" Altitude Valve	1	EA	1995	5	\$1,871	\$374
8" Check valve	1	EA	1995	5	\$958	\$192
8" Gate Valve	2	EA	1995	5	\$1,127	\$225
2" Combination ARV	1	EA	1995	5	\$1,965	\$393
4" Butterfly Valve	1	EA	1995	5	\$173	\$35
4" Gate Valve	1	EA	1995	5	\$158	\$32
School Road Well						
School Road Well	1	LS	1981	36	\$17,072	\$474
25-hp Submersible Pump	1	EA	2007	8	\$36,012	\$4,617
Uranium Treatment System	1	LS	2007	27	\$292,314	\$10,826
Chemical Metering Pump	1	EA	2007	2	\$121	\$60
4" Strainer	1	EA	2007	17	\$613	\$36
4" Check Valve	1	EA	2007	17	\$1,532	\$90
4" Butterfly Valve	1	EA	2007	17	\$589	\$35
12' x 24' Building	1	LS	2007	59	\$9,582	\$164
Electrical	1	LS	2007	12	\$11,357	\$946
Ridgeline Tank						
125,000-gallon Bolted Steel Tank	1	LS	1986	41	\$230,967	\$5,633
6" Altitude Valve	1	EA	1986	23	\$6,845	\$293
6" Swing Check Valve	1	EA	1986	23	\$2,953	\$126
6" Gate Valve	2	EA	1986	23	\$4,482	\$192
2" Gate Valve	1	EA	1986	23	\$65	\$3
5-hp Booster Pump	1	EA	1986	14	\$4,277	\$300
85-gallon Bladder Tank	5	EA	1986	18	\$6,651	\$365
Electrical	1	LS	1986	20	\$14,896	\$764
North Shore Wells 1 and 4						
North Shore Well 1	1	LS	1987	42	\$25,032	\$596
25-hp Submersible Pump	1	LS	2003	6	\$21,095	\$3,402
2" Check Valve	1	EA	1987	23	\$290	\$12
2" Propeller Flow Meter	1	EA	1987	23	\$1,015	\$43
2" Ball Valve	3	EA	1987	23	\$206	\$9

			Installed	Remaining		
Description	Quantity	Unit	Date	Useful Life	RCNLD	Annual Depreciation
2" Pressure Relief Valve	1	EA	1987	23	\$1,343	\$57
Chemical Metering Pump	1	EA	1987	14	\$823	\$60
5-gallon Polyehthylene Tank	1	EA	1987	18	\$43	\$2
8' x 10' Building	1	LS	1987	42	\$2,636	\$63
North Shore Well 3	1	LS	1987	42	\$33,453	\$797
North Shore Well 4	1	LS	1987	42	\$24,254	\$577
10-hp Submersible Pump	1	LS	2001	6	\$11,264	\$1,817
2" Check Valve	1	EA	1987	23	\$290	\$12
2" Ball Valve	1	EA	1987	23	\$69	\$3
Electrical	1	LS	1987	23	\$27,221	\$1,197
Falls Tank						
100,000-gallon Bolted Steel Tank	1	LS	2013	68	\$306,453	\$4,507
3" Gate Valve	1	EA	2013	23	\$562	\$24
6" Gate Valve	1	EA	2013	23	\$2,203	\$96
6" Swing Check Valve	1	EA	2013	23	\$2,902	\$126
Bass Lakes Estates Booster Station						
7.5-hp Centrifugal Booster Pump	1	LS	1996	14	\$4,337	\$318
1,400-gallon Hydropneumatic Tank	1	LS	1996	26	\$13,068	\$503
4" Swing Check Valve	1	EA	1996	26	\$1,406	\$54
4" Gate Valve	1	EA	1996	6	\$189	\$32
2" Gate Valve	1	EA	1996	6	\$17	\$3
8' x 10' Building	1	LS	1996	51	\$3,201	\$63
Electrical	1	LS	1996	1	\$764	\$764
New Intake						
New Intake	1	LS	2019	74	\$232,793	\$3,146
New Surface Water Treatment Plant						
New Surface Water Treatment Plant	1	LS	2021	40	\$6,030,489	\$152,285
Chlorine Contact Basin						
195,100-gal Bolted Steel Chlorine Contact Tank	1	LS	1997	52	\$457,210	\$8,793
Booster #1						
5-hp Centrifugal Booster Pump	1	LS	1986	9	\$2,791	\$300
2" Check Valve	1	EA	1986	16	\$119	\$7
2" Gate Valve	2	EA	1986	27	\$151	\$6
2" Pressure Relief Valve	1	EA	1986	16	\$551	\$34
2" Ball Valve	2	EA	1986	27	\$160	\$6
85-gallon Bladder Tank	2	EA	1986	20	\$2,894	\$146
8' x 10' Building	1	LS	1986	41	\$2,573	\$63
Electrical	1	LS	1986	23	\$11,996	\$527
Booster #2						
5-hp Centrifugal Booster Pump	1	LS	1990	14	\$4,097	\$300
2" Gate Valve	1	EA	1990	0	\$0	\$0
85-gallon Bladder Tank	2	EA	1990	18	\$2,661	\$146
8' x 10' Building	1	LS	1990	45	\$2,824	\$63
Electrical	1	LS	1990	23	\$11,996	\$527
Distribution System						
Pipeline	--	--	--	--	--	
1.5-inch PVC Water Main, SCH 40	1,000	LF	1979	34	\$22,984	\$676
1.5-inch PVC Water Main, SCH 40	790	LF	1984	39	\$20,828	\$534
1.5-inch PVC Water Main, SCH 40	378	LF	1997	52	\$13,287	\$256
1.5-inch PVC Water Main, SCH 40	118	LF	2006	61	\$4,866	\$80
2-inch PVC Water Main, SCH 40	44	LF	1965	20	\$793	\$40
2-inch PVC Water Main, SCH 40	364	LF	1979	34	\$11,155	\$328
2-inch PVC Water Main, SCH 40	42	LF	1988	43	\$1,628	\$38
2-inch PVC Water Main, SCH 40	49	LF	1990	45	\$1,987	\$44
2-inch PVC Water Main, SCH 40	21	LF	1995	50	\$946	\$19
2-inch PVC Water Main, SCH 40	35	LF	2010	65	\$2,051	\$32
2-inch PVC Water Main, SCH 40	50	LF	2012	67	\$3,019	\$45
2-inch PVC Water Main, C900 (1985 SDWBA)	504	LF	1985	40	\$18,171	\$454
2-inch HDPE Water Main	310	LF	2012	67	\$18,721	\$279
3-inch PVC Water Main, SCH 40	304	LF	1979	34	\$13,974	\$411
3-inch PVC Water Main, SCH 40	27	LF	1984	39	\$1,424	\$37
3-inch PVC Water Main, SCH 40	69	LF	2007	62	\$5,784	\$93
4-inch PVC Water Main, SCH 40	185	LF	1965	20	\$6,670	\$333
4-inch PVC Water Main, SCH 40	349	LF	1995	50	\$31,457	\$629
4-inch PVC Water Main, SCH 40	368	LF	1997	52	\$34,496	\$663
4-inch PVC Water Main, SCH 40	205	LF	2006	61	\$22,542	\$370

			Installed	Remaining		
Description	Quantity	Unit	Date	Useful Life	RCNLD	Annual Depreciation
4-inch PVC Water Main, SCH 40	67	LF	2007	62	\$7,488	\$121
4-inch PVC Water Main, SCH 40	184	LF	2008	63	\$20,897	\$332
4-inch PVC Water Main, C900	132	LF	1965	20	\$4,759	\$238
4-inch PVC Water Main, C900	249	LF	1995	50	\$22,443	\$449
4-inch PVC Water Main, C900	263	LF	1997	52	\$24,653	\$474
4-inch PVC Water Main, C900	147	LF	2006	61	\$16,165	\$265
4-inch PVC Water Main, C900	48	LF	2007	62	\$5,365	\$87
4-inch PVC Water Main, C900	132	LF	2008	63	\$14,991	\$238
4-inch PVC Water Main, C900 (1985 SDWBA)	9,170	LF	1985	40	\$661,218	\$16,530
4-inch PVC Water Main, SCH 40 (1980)	4,353	LF	1980	35	\$274,645	\$7,847
6-inch PVC Water Main, C900	780	LF	1979	34	\$71,710	\$2,109
6-inch PVC Water Main, C900	497	LF	1988	43	\$57,787	\$1,344
6-inch PVC Water Main, C900	1,554	LF	1995	50	\$210,101	\$4,202
6-inch PVC Water Main, C900	526	LF	1997	52	\$73,960	\$1,422
6-inch PVC Water Main, C900	263	LF	2004	59	\$41,958	\$711
6-inch PVC Water Main, C900	364	LF	2006	61	\$60,040	\$984
6-inch PVC Water Main, C900	1,376	LF	2008	63	\$234,404	\$3,721
6-inch PVC Water Main, C900 (1985 SDWBA)	1,196	LF	1985	40	\$129,359	\$3,234
8-inch PVC Water Main, C900	842	LF	1990	45	\$136,606	\$3,036
8-inch PVC Water Main, C900	1,507	LF	1995	50	\$271,662	\$5,433
8-inch PVC Water Main, C900	1,491	LF	2002	57	\$306,406	\$5,376
8-inch PVC Water Main, C900 (Ridgeline 1985)	2,200	LF	1985	40	\$317,269	\$7,932
8-inch PVC Water Main, C900 (1985 SDWBA)	3,695	LF	1985	40	\$532,868	\$13,322
8-inch PVC Water Main, SCH 40 (1980)	10,117	LF	1980	35	\$1,276,631	\$36,475
Service Connections	--	--	--	--	--	--
3/4 inch	7	EA	1965	2	\$1,156	\$771
3/4 inch	50	EA	1979	2	\$8,260	\$5,507
3/4 inch	300	EA	1980	19	\$614,535	\$33,040
3/4 inch	17	EA	1984	19	\$34,824	\$1,872
3/4 inch	349	EA	1985	19	\$714,909	\$38,436
3/4 inch	11	EA	1988	19	\$22,533	\$1,211
3/4 inch	18	EA	1990	0	\$0	\$0
3/4 inch	76	EA	1995	5	\$41,850	\$8,370
3/4 inch	32	EA	1997	7	\$24,669	\$3,524
3/4 inch	31	EA	2002	12	\$40,969	\$3,414
3/4 inch	5	EA	2004	14	\$7,709	\$551
3/4 inch	17	EA	2006	16	\$29,956	\$1,872
3/4 inch	4	EA	2007	17	\$7,489	\$441
3/4 inch	35	EA	2008	18	\$69,383	\$3,855
3/4 inch	1	EA	2010	20	\$2,203	\$110
3/4 inch	2	EA	2012	22	\$4,846	\$220
1 inch	1	EA	1980	19	\$2,447	\$132
1 inch	2	EA	1985	19	\$4,893	\$263
1 inch	1	EA	1995	5	\$658	\$132
1.25 inch	1	EA	1980	19	\$2,798	\$150
1.25 inch	1	EA	1985	19	\$2,798	\$150
1.25 inch	1	EA	1995	5	\$752	\$150
1.5 inch	1	EA	1980	19	\$3,180	\$171
1.5 inch	1	EA	1985	19	\$3,180	\$171
1.5 inch	1	EA	1995	5	\$855	\$171
2 inch	1	EA	1985	19	\$4,175	\$224
Meters	--	--	--	--	--	--
3/4 inch	1	EA	1979	2	\$12	\$8
3/4 inch	6	EA	1980	19	\$912	\$49
3/4 inch	7	EA	1985	19	\$1,064	\$57
3/4 inch	1	EA	1995	5	\$41	\$8
3/4 inch	1	EA	1997	7	\$57	\$8
3/4 inch	1	EA	2002	12	\$98	\$8
3/4 inch	1	EA	2008	18	\$147	\$8
1 inch	1	EA	1979	2	\$14	\$9
1 inch	3	EA	1980	19	\$519	\$28
1 inch	3	EA	1985	19	\$519	\$28
1 inch	1	EA	1995	5	\$46	\$9
1.5 inch	2	EA	1980	19	\$838	\$45
1.5 inch	2	EA	1985	19	\$838	\$45
1.5 inch	1	EA	1995	5	\$113	\$23
2 inch	1	EA	1979	2	\$44	\$29
2 inch	3	EA	1980	19	\$1,619	\$87
2 inch	3	EA	1985	19	\$1,619	\$87
2 inch	1	EA	1995	5	\$145	\$29
3 inch	1	EA	1985	19	\$850	\$46
4 inch	1	EA	1980	19	\$1,294	\$70
4 inch	1	EA	1985	19	\$1,294	\$70
Isolation (Gate) Valves	--	--	--	--	--	--
1 inch	2	EA	1979	2	\$6	\$4

			Installed	Remaining		
Description	Quantity	Unit	Date	Useful Life	RCNLD	Annual Depreciation
1 inch	1	EA	1984	19	\$38	\$2
1 inch	1	EA	1997	7	\$14	\$2
1.5 inch	1	EA	1979	2	\$3	\$2
2 inch	2	EA	1965	2	\$8	\$6
2 inch	14	EA	1979	2	\$58	\$39
2 inch	21	EA	1985	19	\$1,078	\$58
2 inch	2	EA	1988	19	\$103	\$6
2 inch	2	EA	1990	0	\$0	\$0
2 inch	1	EA	2010	20	\$55	\$3
2 inch	14	EA	2012	22	\$850	\$39
3 inch	6	EA	1979	2	\$220	\$147
3 inch	1	EA	1984	19	\$455	\$24
3 inch	1	EA	2007	17	\$416	\$24
4 inch	2	EA	1965	2	\$95	\$63
4 inch	15	EA	1980	19	\$8,802	\$473
4 inch	33	EA	1985	19	\$19,363	\$1,041
4 inch	2	EA	1995	5	\$315	\$63
4 inch	2	EA	1997	7	\$442	\$63
4 inch	1	EA	2006	16	\$505	\$32
4 inch	1	EA	2008	18	\$568	\$32
6 inch	7	EA	1979	2	\$1,006	\$670
6 inch	11	EA	1985	19	\$19,594	\$1,053
6 inch	5	EA	1988	19	\$8,906	\$479
6 inch	15	EA	1995	5	\$7,183	\$1,437
6 inch	5	EA	1997	7	\$3,352	\$479
6 inch	3	EA	2004	14	\$4,022	\$287
6 inch	3	EA	2006	16	\$4,597	\$287
6 inch	13	EA	2008	18	\$22,409	\$1,245
8 inch	23	EA	1980	19	\$48,199	\$2,591
8 inch	14	EA	1985	19	\$29,338	\$1,577
8 inch	2	EA	1990	0	\$0	\$0
8 inch	4	EA	1995	5	\$2,253	\$451
8 inch	3	EA	2002	12	\$4,056	\$338
2-inch Butterfly Valve (Isolation)	2	EA	1979	2	\$30	\$20
2-inch Butterfly Valve (Isolation)	3	EA	1985	19	\$560	\$30
2-inch Butterfly Valve (Isolation)	2	EA	2012	22	\$441	\$20
2-inch Curb Stop Valve	2	EA	1979	2	\$44	\$29
2-inch Curb Stop Valve	3	EA	1980	19	\$817	\$44
2-inch Curb Stop Valve	3	EA	1985	19	\$817	\$44
2-inch Curb Stop Valve	2	EA	1995	5	\$146	\$29
Modern Fire Hydrant (Clow 4.5 x 2.5)	1	EA	1965	2	\$592	\$296
Modern Fire Hydrant (Clow 4.5 x 2.5)	3	EA	1979	2	\$1,775	\$887
Modern Fire Hydrant (Clow 4.5 x 2.5)	19	EA	1980	0	\$0	\$0
Modern Fire Hydrant (Clow 4.5 x 2.5)	1	EA	1984	4	\$1,183	\$296
Modern Fire Hydrant (Clow 4.5 x 2.5)	22	EA	1985	5	\$32,533	\$6,507
Modern Fire Hydrant (Clow 4.5 x 2.5)	1	EA	1988	8	\$2,366	\$296
Modern Fire Hydrant (Clow 4.5 x 2.5)	1	EA	1990	10	\$2,958	\$296
Modern Fire Hydrant (Clow 4.5 x 2.5)	5	EA	1995	15	\$22,181	\$1,479
Modern Fire Hydrant (Clow 4.5 x 2.5)	2	EA	1997	17	\$10,056	\$592
Modern Fire Hydrant (Clow 4.5 x 2.5)	2	EA	2002	22	\$13,013	\$592
Modern Fire Hydrant (Clow 4.5 x 2.5)	1	EA	2004	24	\$7,098	\$296
Modern Fire Hydrant (Clow 4.5 x 2.5)	1	EA	2006	26	\$7,690	\$296
Modern Fire Hydrant (Clow 4.5 x 2.5)	2	EA	2008	28	\$16,562	\$592
Wharff Hydrant	1	EA	1979	2	\$211	\$106
Wharff Hydrant	3	EA	1980	0	\$0	\$0
Wharff Hydrant	4	EA	1985	5	\$2,113	\$423
Wharff Hydrant	1	EA	1995	15	\$1,584	\$106
Wharff Hydrant	1	EA	2008	28	\$2,958	\$106
TOTAL					\$17,409,300	\$508,565

Composite Depreciation:2.9%

Acquisition Price:\$5,923,333

Forecasted Year 1 Depreciation Expense:\$173,034

MDR Response 7 Attachment

Schedule No. 1

ANNUAL METERED SERVICEAPPLICABILITY

Applicable to all metered service furnished on an annual basis.

TERRITORY

The northwest shore of Bass Lake including the Falls and Bass Lake and vicinity, Madera County.

RATESQuantity Rate:

All water, per 100 cubic feet.....	\$1.775	(I)
------------------------------------	---------	-----

Annual Service Charge:Per Meter Per Year

For 5/8 x 3/4-inch meter.....	\$ 319.16	(I)
For 3/4-inch meter.....	478.75	(I)
For 1-inch meter.....	797.91	(I)
For 1-1/2-inch meter.....	1,595.78	(I)
For 2-inch meter.....	2,472.62	(I)
For 3-inch meter.....	4,787.36	(I)
For 4-inch meter.....	15,957.78	(I)

The service charge is a readiness-to-serve charge, which is applicable to all metered service and to which is added the charge for water used computed at the Quantity Rate.

(continued)

(To be inserted by utility)

Issued by

(To be inserted by Cal. P.U.C.)

Advice Letter No. 85-W

Bass Lake Water Company

Date Filed 6/9/2021

Decision No. 92-03-093

Stephen R Welch, Pres

Effective 6/9/2021

Resolution No. W-4493 & W-4540

Schedule No. 2
ANNUAL RESIDENTIAL FLAT RATE SERVICE

APPLICABILITY

Applicable to all flat rate residential service furnished on an annual basis.

TERRITORY

The northwest shore of Bass Lake including the Falls and Bass Lake and vicinity, Madera County.

RATES

Per Service Connection
Per Year

For a single family resident unit

¾ inch service.....	\$ 956.99	(I)
1 inch service.....	1,594.90	(I)
1-1/4 inch service.....	2,392.46	(I)
1-1/2 inch service.....	3,190.06	(I)
2 inch service.....	5,104.32	(I)

For each additional single-family unit on
the same premises and served from the

same service connection.....\$715.92 (I)

SPECIAL CONDITIONS

1. The annual service charge applies to service during the 12-month period commencing January 1, and is due in advance. If a permanent resident of the area has been a customer of the utility for at least 12 months, he or she may elect, at the beginning of the calendar year, to pay prorated service charges in advance at intervals of less than one year (monthly, bimonthly, or quarterly) in accordance with the utility's established billing periods. A non-permanent resident may elect to pay the annual charge in two equal installments. Where such a resident has failed to pay the first half of the annual charge due January 1, service will not be restored until the total annual charge has been paid.

(continued)

(To be inserted by utility)

Issued by

(To be inserted by Cal. P.U.C.)

Advice Letter No. 85-W

Bass Lake Water Company

Date Filed 6/9/2021

Decision No. 92-03-093

Stephen R Welch, President

Effective 6/9/2021

Resolution No. W-4493 & W-4540

TABLE OF CONTENTS

The following listed tariff sheets contain all effective rates and rules affecting the charges and service of the utility, together with other pertinent information:

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No. 9 Rendering and Payment of Bills	369-W, 370-W
No. 10 Disputed Bills	489-W, 490-W
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No. 19 Service to Separate Premises and Multiple Units; and Resale of Water	381-W
No. 20 Water Conservation	338-W
No. 21 Fire Protection	339-W

(Continued)

(To be inserted by utility)

Issued by

(To be inserted by Cal. P.U.C.)

Advice Letter No. 85-WStephen R. WelchDate Filed 6/9/2021Decision No. 92-03-093PresidentEffective 6/9/2021Resolution No. W-4493 & W-4540

MDR Response 9 Attachment

Invoice

Invoice Number	17375277	Supplier	Customer
Invoice Date	Jun 17, 2020	Brown & Caldwell	California American Water Company
Currency	USD (US Dollar)	San Francisco, CA	1 Water St
Purchase Order	3000453998	US (United States)	Camden, NJ 08102-1658
Payment Terms	45 days net	PO Box 45208	US (United States)
		San Francisco 94145-0208	8667778426
		916-444-0123	8565199733
		916-635-8805	

Ship To
CA Corporate Office
1033 B Ave
Coronado, CA 92118-3439
US (United States)

#	PO Item	Description	Unit	Qty	Unit Price	Line Total
1	10	Replacement Cost Evaluation - Bass Lake	AU	1	\$30,235.41	\$30,235.41

Subtotal	\$30,235.41
Total Tax Amount	\$0.00
Invoice Amount	\$30,235.41





Brown and Caldwell
11020 White Rock Road, Suite 200
Rancho Cordova, CA 95670
Tel: 916-444-0123

INVOICE

To : California - American Water Company
4701 Beloit Drive
Sacramento, CA 95838

Project No : 154327

Invoice No : 17375277

Date : June 17, 2020

Subject : Bass Lake Replacement Cost
Billing Period : Inception through June 04, 2020
Progress Billing No : 1
Reference : Authorization Dated : 11/18/2019
Task Order #20335
Purchase Order #3000453998

Contact : Michael C Wademan, PM
Invoiced By : Sara B Romero

001 -- Information Review

LABOR

Class/ Employee Name	Hours	Rate	Billing Amount
Supervising Engineer			
Michael C Wademan	1.75	\$ 230.02	402.54
Engineer I			
Brian T McCauley	4.00	\$ 129.00	516.00
Sub- Labor	5.75		\$ 918.54
Labor			\$ 918.54
			: 001 -- Information Review
			\$ 918.54

002 -- Site Visit

LABOR

Class/ Employee Name	Hours	Rate	Billing Amount
Supervising Engineer			
Michael C Wademan	22.75	\$ 230.02	5,232.96
Engineer I			
Brian T McCauley	14.50	\$ 129.00	1,870.50
Sub- Labor	37.25		\$ 7,103.46
Labor			\$ 7,103.46

PAYMENT REMIT ADDRESS: Brown and Caldwell, P.O. Box 45208, San Francisco, CA 94145-0208

Payment is due within 30 days of receipt of invoice, interest on the unpaid balance will accrue beginning with the 31st day at the rate of 1.5 percent per month or the maximum interest permitted by law, whichever is lesser.



Brown and Caldwell
11020 White Rock Road, Suite 200
Rancho Cordova, CA 95670
Tel: 916-444-0123

INVOICE

To : California - American Water Company
4701 Beloit Drive
Sacramento, CA 95838

Project No : 154327

Invoice No : 17375277

Date : June 17, 2020

: 002 -- Site Visit

\$ 7,103.46

003 -- Cost Analysis

LABOR

<u>Class/ Employee Name</u>	<u>Hours</u>	<u>Rate</u>	<u>Billing Amount</u>
Managing Engineer Kenneth W Worster	2.00	\$ 254.50	509.00
Supervising Engineer Michael C Wademan	16.00	\$ 230.02	3,680.32
Principal Engineer James A DeHart	25.00	\$ 208.64	5,216.00
Engineer I Brian T McCauley	2.25	\$ 129.00	290.25
Sub- Labor	45.25		\$ 9,695.57
Labor			\$ 9,695.57

\$ 9,695.57

: 003 -- Cost Analysis

005 -- TM Prep

LABOR

<u>Class/ Employee Name</u>	<u>Hours</u>	<u>Rate</u>	<u>Billing Amount</u>
Supervising Engineer Michael C Wademan	35.25	\$ 230.02	8,108.21
Engineer I Brian T McCauley	0.25	\$ 129.00	32.25
Sub- Labor	35.50		\$ 8,140.46
Labor			\$ 8,140.46

\$ 8,140.46

: 005 -- TM Prep

006 -- Depreciation

PAYMENT REMIT ADDRESS: Brown and Caldwell, P.O. Box 45208, San Francisco, CA 94145-0208

Payment is due within 30 days of receipt of invoice, interest on the unpaid balance will accrue beginning with the 31st day at the rate of 1.5 percent per month or the maximum interest permitted by law, whichever is lesser.



Brown and Caldwell
11020 White Rock Road, Suite 200
Rancho Cordova, CA 95670
Tel: 916-444-0123

INVOICE

To : California - American Water Company
4701 Beloit Drive
Sacramento, CA 95838

Project No : 154327

Invoice No : 17375277

Date : June 17, 2020

LABOR

<u>Class/ Employee Name</u>	<u>Hours</u>	<u>Rate</u>	<u>Billing Amount</u>
Managing Engineer			
Kenneth W Worster	2.00	\$ 254.50	509.00
Supervising Engineer			
Michael C Wademan	0.75	\$ 230.02	172.52
Engineer I			
Brian T McCauley	11.00	\$ 129.00	1,419.00
Sub- Labor	13.75		\$ 2,100.52
Labor			\$ 2,100.52

: 006 -- Depreciation

\$ 2,100.52

007 -- Project Management

LABOR

<u>Class/ Employee Name</u>	<u>Hours</u>	<u>Rate</u>	<u>Billing Amount</u>
Chief Engineer			
Michael J Harrison	0.50	\$ 269.36	134.68
Managing Engineer			
Richard W Terrazas	1.00	\$ 254.50	254.50
Uday A Sant	0.50	\$ 254.50	127.25
Supervising Engineer			
Michael C Wademan	2.00	\$ 230.02	460.04
Project Analyst III			
Kimberly D Stubblefield	13.00	\$ 100.03	1,300.39
Sub- Labor	17.00		\$ 2,276.86
Labor			\$ 2,276.86

: 007 -- Project Management

\$ 2,276.86

Total Amount Due this Invoice

\$ 30,235.41

PAYMENT REMIT ADDRESS: Brown and Caldwell, P.O. Box 45208, San Francisco, CA 94145-0208

Payment is due within 30 days of receipt of invoice, interest on the unpaid balance will accrue beginning with the 31st day at the rate of 1.5 percent per month or the maximum interest permitted by law, whichever is lesser.



Brown and Caldwell
11020 White Rock Road, Suite 200
Rancho Cordova, CA 95670
Tel: 916-444-0123

INVOICE

To : California - American Water Company
4701 Beloit Drive
Sacramento, CA 95838

Project No : 154327

Invoice No : 17375277

Date : June 17, 2020

Summary of Account

BC Phase	Title	Budget	This Invoice	Prior Invoice To Date	Invoiced To Date
001	-- Information Review	\$ 920.08	\$ 918.54	\$ 0.00	\$ 918.54
002	-- Site Visit	\$ 7,107.58	\$ 7,103.46	\$ 0.00	\$ 7,103.46
003	-- Cost Analysis	\$ 9,697.13	\$ 9,695.57	\$ 0.00	\$ 9,695.57
004	-- BRI Estimate	\$ 5,100.00	\$ 0.00	\$ 0.00	\$ 0.00
005	-- TM Prep	\$ 8,806.79	\$ 8,140.46	\$ 0.00	\$ 8,140.46
006	-- Depreciation	\$ 4,514.40	\$ 2,100.52	\$ 0.00	\$ 2,100.52
007	-- Project Management	\$ 6,696.02	\$ 2,276.86	\$ 0.00	\$ 2,276.86
008	-- ORA Data Requests (Optional)	\$ 3,795.00	\$ 0.00	\$ 0.00	\$ 0.00
009	-- CPUC Meeting (Optional)	\$ 3,802.00	\$ 0.00	\$ 0.00	\$ 0.00
		\$ 50,439.00	\$ 30,235.41	\$ 0.00	\$ 30,235.41
Paid To Date :					\$ 0.00
Balance Outstanding :					\$ 30,235.41

PAYMENT REMIT ADDRESS: Brown and Caldwell, P.O. Box 45208, San Francisco, CA 94145-0208

Payment is due within 30 days of receipt of invoice, interest on the unpaid balance will accrue beginning with the 31st day at the rate of 1.5 percent per month or the maximum interest permitted by law, whichever is lesser.

Invoice

Invoice Number 17377811
Invoice Date Jul 20, 2020
Currency USD (US Dollar)
Purchase Order 3000453998
Payment Terms 45 days net

Supplier
Brown & Caldwell
San Francisco, CA
US (United States)
PO Box 45208
San Francisco 94145-0208
916-444-0123
916-635-8805

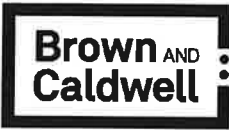
Customer
California American Water Company
1 Water St
Camden, NJ 08102-1658
US (United States)
8667778426
8565199733

Ship To
CA Corporate Office
1033 B Ave
Coronado, CA 92118-3439
US (United States)

#	PO Item	Description	Unit	Qty	Unit Price	Line Total
1	10	Replacement Cost Evaluation - Bass Lake	AU	1	\$5,017.58	\$5,017.58

Subtotal \$5,017.58
Total Tax Amount \$0.00
Invoice Amount \$5,017.58





Brown and Caldwell
11020 White Rock Road, Suite 200
Rancho Cordova, CA 95670
Tel: 916-444-0123

INVOICE

To : California - American Water Company
4701 Beloit Drive
Sacramento, CA 95838

Project No : 154327

Invoice No : 17377811

Date : July 17, 2020

Subject : Bass Lake Replacement Cost
Billing Period : June 05, 2020 through June 25, 2020
Progress Billing No : 2
Reference : Authorization Dated : 11/18/2019

Contact : Michael C Wademan, PM
Invoiced By : Sara B Romero

Task Order #20335
Purchase Order #3000453998

005 -- TM Prep

LABOR

Class/ Employee Name	Hours	Rate	Billing Amount
Supervising Engineer			
Michael C Wademan	0.50	\$ 230.02	115.01
Engineer I			
Brian T McCauley	4.25	\$ 129.00	548.25
Sub- Labor	4.75		\$ 663.26
Labor			\$ 663.26
: 005 -- TM Prep			\$ 663.26

006 -- Depreciation

LABOR

Class/ Employee Name	Hours	Rate	Billing Amount
Managing Engineer			
Uday A Sant	1.00	\$ 254.50	254.50
Supervising Engineer			
Michael C Wademan	9.25	\$ 230.02	2,127.69
Sub- Labor	10.25		\$ 2,382.19
Labor			\$ 2,382.19

PAYMENT REMIT ADDRESS: Brown and Caldwell, P.O. Box 45208, San Francisco, CA 94145-0208

Payment is due within 30 days of receipt of invoice, interest on the unpaid balance will accrue beginning with the 31st day at the rate of 1.5 percent per month or the maximum interest permitted by law, whichever is lesser.



Brown and Caldwell
11020 White Rock Road, Suite 200
Rancho Cordova, CA 95670
Tel: 916-444-0123

INVOICE

To : California - American Water Company
4701 Beloit Drive
Sacramento, CA 95838

Project No : 154327

Invoice No : 17377811

Date : July 17, 2020

: 006 -- Depreciation

\$ 2,382.19

007 -- Project Management

LABOR

<u>Class/ Employee Name</u>	<u>Hours</u>	<u>Rate</u>	<u>Billing Amount</u>
Managing Engineer Uday A Sant	0.50	\$ 254.50	127.25
Supervising Engineer Michael C Wademan	0.75	\$ 230.02	172.52
Engineer I Brian T McCauley	10.25	\$ 129.00	1,322.25
Project Analyst III Kimberly D Stubblefield	3.50	\$ 100.03	350.11
Sub- Labor	15.00		\$ 1,972.13
Labor			\$ 1,972.13

: 007 -- Project Management

\$ 1,972.13

Total Amount Due this Invoice

\$ 5,017.58

PAYMENT REMIT ADDRESS: Brown and Caldwell, P.O. Box 45208, San Francisco, CA 94145-0208

Payment is due within 30 days of receipt of invoice, interest on the unpaid balance will accrue beginning with the 31st day at the rate of 1.5 percent per month or the maximum interest permitted by law, whichever is lesser.



Brown and Caldwell
11020 White Rock Road, Suite 200
Rancho Cordova, CA 95670
Tel: 916-444-0123

INVOICE

To : California - American Water Company
4701 Beloit Drive
Sacramento, CA 95838

Project No : 154327

Invoice No : 17377811

Date : July 17, 2020

Summary of Account

BC Phase Title	Budget	This Invoice	Prior Invoice To Date	Invoiced To Date
001 -- Information Review	\$ 920.08	\$ 0.00	\$ 918.54	\$ 918.54
002 -- Site Visit	\$ 7,107.58	\$ 0.00	\$ 7,103.46	\$ 7,103.46
003 -- Cost Analysis	\$ 9,697.13	\$ 0.00	\$ 9,695.57	\$ 9,695.57
004 -- BRI Estimate	\$ 5,100.00	\$ 0.00	\$ 0.00	\$ 0.00
005 -- TM Prep	\$ 8,806.79	\$ 663.26	\$ 8,140.46	\$ 8,803.72
006 -- Depreciation	\$ 4,514.40	\$ 2,382.19	\$ 2,100.52	\$ 4,482.71
007 -- Project Management	\$ 6,696.02	\$ 1,972.13	\$ 2,276.86	\$ 4,248.99
008 -- ORA Data Requests (Optional)	\$ 3,795.00	\$ 0.00	\$ 0.00	\$ 0.00
009 -- CPUC Meeting (Optional)	\$ 3,802.00	\$ 0.00	\$ 0.00	\$ 0.00
	\$ 50,439.00	\$ 5,017.58	\$ 30,235.41	\$ 35,252.99

Paid To Date :

\$ 0.00

Balance Outstanding :

\$ 35,252.99

PAYMENT REMIT ADDRESS: Brown and Caldwell, P.O. Box 45208, San Francisco, CA 94145-0208

Payment is due within 30 days of receipt of invoice, interest on the unpaid balance will accrue beginning with the 31st day at the rate of 1.5 percent per month or the maximum interest permitted by law, whichever is lesser.

Invoice

Invoice Number 17416023
Invoice Date Aug 13, 2021
Currency USD (US Dollar)
Purchase Order 3000453998
Payment Terms 45 days net

Supplier
Brown & Caldwell
San Francisco, CA
US (United States)
PO Box 45208
San Francisco 94145-0208
916-444-0123
916-635-8805

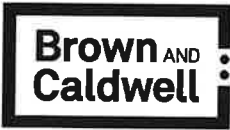
Customer
California American Water Company
1 Water St
Camden, NJ 08102-1658
US (United States)
8667778426
8565199733

Ship To
CA Corporate Office
1033 B Ave
Coronado, CA 92118-3439
US (United States)

#	PO Item	Description	Unit	Qty	Unit Price	Line Total
1	10	Replacement Cost Evaluation - Bass Lake	AU	1	\$2,111.02	\$2,111.02

Subtotal \$2,111.02
Total Tax Amount \$0.00
Invoice Amount \$2,111.02





Brown and Caldwell
11020 White Rock Road, Suite 200
Rancho Cordova, CA 95670
Tel: 916-444-0123

INVOICE

To : California - American Water Company
4701 Beloit Drive
Sacramento, CA 95838

Project No : 154327

Invoice No : 17416023

Date : August 13, 2021

Subject : Bass Lake Replacement Cost
Billing Period : May 21, 2021 through July 22, 2021
Progress Billing No : 4
Reference : Authorization Dated : 11/18/2019

Contact : Michael C Wademan, PM
Invoiced By : Sara B Romero

Task Order #20335
Purchase Order #3000453998

007 -- Project Management

LABOR

<u>Class/ Employee Name</u>	<u>Hours</u>	<u>Rate</u>	<u>Billing Amount</u>
Managing Engineer			
Michael C Wademan	8.00	\$ 254.50	2,036.00
Project Analyst III			
Kimberly D Stubblefield	0.75	\$ 100.03	75.02
Sub-Labor	8.75		\$ 2,111.02
Labor			\$ 2,111.02
: 007 -- Project Management			\$ 2,111.02
Total Amount Due this Invoice			\$ 2,111.02

PAYMENT REMIT ADDRESS: Brown and Caldwell, P.O. Box 45208, San Francisco, CA 94145-0208

Payment is due within 30 days of receipt of invoice, interest on the unpaid balance will accrue beginning with the 31st day at the rate of 1.5 percent per month or the maximum interest permitted by law, whichever is lesser.



Brown and Caldwell
11020 White Rock Road, Suite 200
Rancho Cordova, CA 95670
Tel: 916-444-0123

INVOICE

To : California - American Water Company
4701 Beloit Drive
Sacramento, CA 95838

Project No : 154327

Invoice No : 17416023

Date : August 13, 2021

Summary of Account

BC Phase Title	Budget	This Invoice	Prior Invoice To Date	Invoiced To Date
001 -- Information Review	\$ 920.08	\$ 0.00	\$ 918.54	\$ 918.54
002 -- Site Visit	\$ 7,107.58	\$ 0.00	\$ 7,103.46	\$ 7,103.46
003 -- Cost Analysis	\$ 9,697.13	\$ 0.00	\$ 9,695.57	\$ 9,695.57
004 -- BRI Estimate	\$ 5,100.00	\$ 0.00	\$ 5,100.00	\$ 5,100.00
005 -- TM Prep	\$ 8,806.79	\$ 0.00	\$ 8,803.72	\$ 8,803.72
006 -- Depreciation	\$ 4,514.40	\$ 0.00	\$ 4,482.71	\$ 4,482.71
007 -- Project Management	\$ 6,696.02	\$ 2,111.02	\$ 6,364.23	\$ 8,475.25
008 -- ORA Data Requests (Optional)	\$ 3,795.00	\$ 0.00	\$ 3,581.10	\$ 3,581.10
009 -- CPUC Meeting (Optional)	\$ 3,802.00	\$ 0.00	\$ 1,380.12	\$ 1,380.12
	\$ 50,439.00	\$ 2,111.02	\$ 47,429.45	\$ 49,540.47
Paid To Date :				<u>\$(47,429.45)</u>
Balance Outstanding :				<u>\$ 2,111.02</u>

PAYMENT REMIT ADDRESS: Brown and Caldwell, P.O. Box 45208, San Francisco, CA 94145-0208

Payment is due within 30 days of receipt of invoice, interest on the unpaid balance will accrue beginning with the 31st day at the rate of 1.5 percent per month or the maximum interest permitted by law, whichever is lesser.

Invoice

Invoice Number 17410986
Invoice Date Jun 22, 2021
Currency USD (US Dollar)
Purchase Order 3000453998
Payment Terms 45 days net

Supplier
Brown & Caldwell
San Francisco, CA
US (United States)
PO Box 45208
San Francisco 94145-0208
916-444-0123
916-635-8805

Customer
California American Water Company
1 Water St
Camden, NJ 08102-1658
US (United States)
8667778426
8565199733

Ship To
CA Corporate Office
1033 B Ave
Coronado, CA 92118-3439
US (United States)

#	PO Item	Description	Unit	Qty	Unit Price	Line Total
1	10	Replacement Cost Evaluation - Bass Lake	AU	1	\$12,176.46	\$12,176.46

Subtotal \$12,176.46
Total Tax Amount \$0.00
Invoice Amount \$12,176.46





Brown and Caldwell
11020 White Rock Road, Suite 200
Rancho Cordova, CA 95670
Tel: 916-444-0123

INVOICE

To : California - American Water Company
4701 Beloit Drive
Sacramento, CA 95838

Project No : 154327

Invoice No : 17410986

Date : June 11, 2021

Subject : Bass Lake Replacement Cost
Billing Period : June 26, 2020 through May 20, 2021
Progress Billing No : 3
Reference : Authorization Dated : 11/18/2019

Contact : Michael C Wademan, PM
Invoiced By : Sara B Romero

Task Order #20335
Purchase Order #3000453998

004 -- BRI Estimate

EXPENSES

REGULAR EXPENSES

Account / Vendor Name	Billing Amount
Subconsultants	
O/S CONSULT-CIVIL	
BENDER ROSENTHAL INC	5,100.00
Subconsultants	\$ 5,100.00
Regular Expenses	\$ 5,100.00
Expenses	\$ 5,100.00
: 004 -- BRI Estimate	\$ 5,100.00

007 -- Project Management

LABOR

Class/ Employee Name	Hours	Rate	Billing Amount
Supervising Engineer			
Michael C Wademan	8.00	\$ 230.02	1,840.16
Project Analyst III			
Kimberly D Stubblefield	2.75	\$ 100.03	275.08
Sub- Labor	10.75		\$ 2,115.24
Labor			\$ 2,115.24

PAYMENT REMIT ADDRESS: Brown and Caldwell, P.O. Box 45208, San Francisco, CA 94145-0208

Payment is due within 30 days of receipt of invoice, interest on the unpaid balance will accrue beginning with the 31st day at the rate of 1.5 percent per month or the maximum interest permitted by law, whichever is lesser.



Brown and Caldwell
11020 White Rock Road, Suite 200
Rancho Cordova, CA 95670
Tel: 916-444-0123

INVOICE

To : California - American Water Company
4701 Beloit Drive
Sacramento, CA 95838

Project No : 154327

Invoice No : 17410986

Date : June 11, 2021

: 007 -- Project Management

\$ 2,115.24

008 -- ORA Data Requests (Optional)

LABOR

<u>Class/ Employee Name</u>	<u>Hours</u>	<u>Rate</u>	<u>Billing Amount</u>
Supervising Engineer			
Michael C Wademan	13.50	\$ 230.02	3,105.27
Engineer I			
Brian T McCauley	1.25	\$ 129.00	161.25
Project Analyst III			
Kimberly D Stubblefield	1.75	\$ 100.03	175.05
Executive Support Services III			
Irasema Uresti	1.00	\$ 139.53	139.53
Sub- Labor	17.50		\$ 3,581.10
Labor			\$ 3,581.10

: 008 -- ORA Data Requests (Optional)

\$ 3,581.10

009 -- CPUC Meeting (Optional)

LABOR

<u>Class/ Employee Name</u>	<u>Hours</u>	<u>Rate</u>	<u>Billing Amount</u>
Supervising Engineer			
Michael C Wademan	6.00	\$ 230.02	1,380.12
Sub- Labor	6.00		\$ 1,380.12
Labor			\$ 1,380.12

: 009 -- CPUC Meeting (Optional)

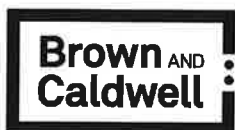
\$ 1,380.12

Total Amount Due this Invoice

\$ 12,176.46

PAYMENT REMIT ADDRESS: Brown and Caldwell, P.O. Box 45208, San Francisco, CA 94145-0208

Payment is due within 30 days of receipt of invoice, interest on the unpaid balance will accrue beginning with the 31st day at the rate of 1.5 percent per month or the maximum interest permitted by law, whichever is lesser.



Brown and Caldwell
11020 White Rock Road, Suite 200
Rancho Cordova, CA 95670
Tel: 916-444-0123

INVOICE

To : California - American Water Company
4701 Beloit Drive
Sacramento, CA 95838

Project No : 154327

Invoice No : 17410986

Date : June 11, 2021

Summary of Account

BC Phase Title	Budget	This Invoice	Prior Invoice To Date	Invoiced To Date
001 -- Information Review	\$ 920.08	\$ 0.00	\$ 918.54	\$ 918.54
002 -- Site Visit	\$ 7,107.58	\$ 0.00	\$ 7,103.46	\$ 7,103.46
003 -- Cost Analysis	\$ 9,697.13	\$ 0.00	\$ 9,695.57	\$ 9,695.57
004 -- BRI Estimate	\$ 5,100.00	\$ 5,100.00	\$ 0.00	\$ 5,100.00
005 -- TM Prep	\$ 8,806.79	\$ 0.00	\$ 8,803.72	\$ 8,803.72
006 -- Depreciation	\$ 4,514.40	\$ 0.00	\$ 4,482.71	\$ 4,482.71
007 -- Project Management	\$ 6,696.02	\$ 2,115.24	\$ 4,248.99	\$ 6,364.23
008 -- ORA Data Requests (Optional)	\$ 3,795.00	\$ 3,581.10	\$ 0.00	\$ 3,581.10
009 -- CPUC Meeting (Optional)	\$ 3,802.00	\$ 1,380.12	\$ 0.00	\$ 1,380.12
	\$ 50,439.00	\$ 12,176.46	\$ 35,252.99	\$ 47,429.45

Paid To Date :

\$(35,252.99)

Balance Outstanding :

\$ 12,176.46

PAYMENT REMIT ADDRESS: Brown and Caldwell, P.O. Box 45208, San Francisco, CA 94145-0208

Payment is due within 30 days of receipt of invoice, interest on the unpaid balance will accrue beginning with the 31st day at the rate of 1.5 percent per month or the maximum interest permitted by law, whichever is lesser.

Invoice

Invoice Number	17379933	Supplier	Customer
Invoice Date	Aug 7, 2020	Brown & Caldwell	California American Water Company
Currency	USD (US Dollar)	San Francisco, CA	1 Water St
Purchase Order	3000403760	US (United States)	Camden, NJ 08102-1658
Payment Terms	45 days net	PO Box 45208	US (United States)
		San Francisco 94145-0208	8667778426
		916-444-0123	8565199733
		916-635-8805	

Ship To
CA Corporate Office
1033 B Ave
Coronado, CA 92118-3439
US (United States)

#	PO Item	Description	Unit	Qty	Unit Price	Line Total
1	10	Opinion of Replacement Costs for Warring	AU	1	\$46.31	\$46.31

Subtotal	\$46.31
Total Tax Amount	\$0.00
Invoice Amount	\$46.31





Brown and Caldwell
11020 White Rock Road, Suite 200
Rancho Cordova, CA 95670
Tel: 916-444-0123

INVOICE

To : California - American Water Company
4701 Beloit Drive
Sacramento, CA 95838

Project No : 153650

Invoice No : 17379933

Date : August 07, 2020

Subject : Warring Water Co. Replacement Cost

Contact : Michael C Wademan, PM

Billing Period : April 24, 2020 through July 23, 2020

Invoiced By : Sara B Romero

Progress Billing No : 8

Reference : Authorization Dated : 5/30/2019

Task Order #9803
PO3000403760

007 -- Project Management

LABOR

Class/ Employee Name	Hours	Rate	Billing Amount
Project Analyst III			
Kimberly D Stubblefield	0.50	\$ 92.62	46.31
Sub- Labor	0.50		\$ 46.31
Labor			\$ 46.31
: 007 -- Project Management			\$ 46.31
Total Amount Due this Invoice			\$ 46.31

PAYMENT REMIT ADDRESS: Brown and Caldwell, P.O. Box 45208, San Francisco, CA 94145-0208

Payment is due within 30 days of receipt of invoice, interest on the unpaid balance will accrue beginning with the 31st day at the rate of 1.5 percent per month or the maximum interest permitted by law, whichever is lesser.



Brown and Caldwell
11020 White Rock Road, Suite 200
Rancho Cordova, CA 95670
Tel: 916-444-0123

INVOICE

To : California - American Water Company
4701 Beloit Drive
Sacramento, CA 95838

Project No : 153650

Invoice No : 17379933

Date : August 07, 2020

Summary of Account

BC Phase	Title	Budget	This Invoice	Prior Invoice To Date	Invoiced To Date
001	-- Information Review	\$ 852.00	\$ 0.00	\$ 851.92	\$ 851.92
002	-- Site Visit	\$ 6,684.00	\$ 0.00	\$ 6,680.30	\$ 6,680.30
003	-- Cost Analysis	\$ 8,861.00	\$ 0.00	\$ 8,844.88	\$ 8,844.88
004	-- BRI Estimate	\$ 6,000.00	\$ 0.00	\$ 6,000.00	\$ 6,000.00
005	-- TM Preparation	\$ 7,785.00	\$ 0.00	\$ 7,524.79	\$ 7,524.79
006	-- Depreciation	\$ 3,556.00	\$ 0.00	\$ 3,514.17	\$ 3,514.17
007	-- Project Management	\$ 6,181.00	\$ 46.31	\$ 3,452.44	\$ 3,498.75
		\$ 39,919.00	\$ 46.31	\$ 36,868.50	\$ 36,914.81
Paid To Date :					\$(36,868.50)
Balance Outstanding :					\$ 46.31

PAYMENT REMIT ADDRESS: Brown and Caldwell, P.O. Box 45208, San Francisco, CA 94145-0208

Payment is due within 30 days of receipt of invoice, interest on the unpaid balance will accrue beginning with the 31st day at the rate of 1.5 percent per month or the maximum interest permitted by law, whichever is lesser.

Invoice

Invoice Number	29430	Supplier	Customer
Invoice Date	May 31, 2021	WestWater Research LLC	California American Water Company
Supply Date	May 31, 2021	805 W Idaho St	1 Water St
Currency	USD (US Dollar)	Ste 310	Camden, NJ 08102-1658
Purchase Order	3000408373	Boise, ID 83702-8917	US (United States)
Payment Terms	30 days net	US (United States)	8667778426
		208-433-0255	8565199733
			Customer Tax Identifier
			810544045

Ship To
Sacramento Dist
4701 Beloit Dr
Sacramento, CA 95838-2434
US (United States)

#	PO Item	Description	Unit	Qty	Unit Price	Line Total
1	10	Valuation Services - East Pasadena Contr	AU	1	\$2,431.25	\$2,431.25
Subtotal						\$2,431.25
Total Tax Amount						\$0.00
Invoice Amount						\$2,431.25



WESTWATER RESEARCH, LLC
3858 N. Garden Center Way, Suite 205
Boise, ID 83703
(208) 2084330255
mai@waterexchange.com



INVOICE

BILL TO

California American Water
655 West Broadway #1410
San Diego, CA 92101

INVOICE # 29430
DATE 05/31/2021
DUE DATE 06/30/2021
TERMS Net 30

WWR REFERENCE	DESCRIPTION	CLIENT REFERENCE
20-064	Bass Lake Water Co Valuation	Contract: 23509

ACTIVITY	DESCRIPTION	QTY	RATE	AMOUNT
Arnao, Audrey	Valuation Services provided by Associate Audrey Arnao	6.25	125.00	781.25
Mai, Julie	Valuation Services provided by Administrator Julie Mai	2	75.00	150.00
Payne, Matt	Valuation Services provided by Principal Matt Payne	6	250.00	1,500.00

BALANCE DUE

\$2,431.25

Please pay by Wire or ACH
Idaho First Bank, Account # REDACTED
ABA/Wire Transfer Routing REDACTED
Checks payments may be sent to:
WestWater Research LLC
3858 N. Garden Center Way, Suite 205
Boise, Idaho 83703
Any questions, please email: mai@waterexchange.com

WESTWATER RESEARCH, LLC
805 W. Idaho Street, Suite
Boise, ID 83702
(208) 2084330255
mai@waterexchange.com



INVOICE

BILL TO

California American Water
655 West Broadway #1410
San Diego, CA 92101

INVOICE # 29148

DATE 02/28/2021

DUE DATE 03/30/2021

TERMS Net 30

WWR REFERENCE

20-064

DESCRIPTION

Bass Lake Water Co Valuation

CLIENT REFERENCE

Contract: 23509

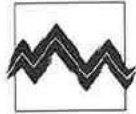
ACTIVITY	DESCRIPTION	QTY	RATE	AMOUNT
Seely, Harry	Valuation Services provided by Principal Harry Seely	1	250.00	250.00
Payne, Matt	Valuation Services provided by Principal Matt Payne	19.75	250.00	4,937.50
Townsend, John	Valuation Services provided by GIS Manager John Townsend	4.75	125.00	593.75
Mai, Julie	Valuation Services provided by Administrator Julie Mai	6.50	75.00	487.50
Arnao, Audrey	Valuation Services provided by Associate Audrey Arnao	50.75	125.00	6,343.75

Thank you for your business.

BALANCE DUE

\$12,612.50

Please pay by Wire or ACH
Idaho First Bank, Account # REDACTED
ABA/Wire Transfer Routing REDACTED
Checks payments may be sent to:
WestWater Research LLC
805 W Idaho St, Ste 310, Boise ID 83702.
Any questions, please email mai@waterexchange.com



Willamette Management Associates

8600 West Bryn Mawr Avenue, Suite 950-N
Chicago, Illinois 60631
773 • 399 • 4300/(Fax) 773 • 399 • 4310

California American Water Company
AP Dept 1015
c/o Karla Ayala
1 Water Street
Camden, NJ 08102-1658

January 31, 2022

Client ID 12962-1 KMZ
Invoice No. 12731

Statement for period through January 27, 2022

PROFESSIONAL SERVICE FEES: Bass Lake Water Co.

TOTAL FEES	\$13,006.25
TOTAL EXPENSES	\$612.77
TOTAL FEES AND EXPENSES	\$13,619.02

PRIOR STATEMENT BALANCE	\$12,268.92
CURRENT CHARGES	\$13,619.02
PAY THIS AMOUNT	\$25,887.94

Terms: Net 15 Days. Payments After 01/31/22 Will Appear on Your Next Statement

Current Retainer Balance: \$5,001.62

Detail of Unpaid Invoices

Invoice Date	Invoice Number	Amount Billed	Balance Due
12/31/21	12306	\$12,268.92	\$12,268.92

Finance Charge Will Be Assessed per Contract Terms

Please note that our bank account has changed. Please remit payments to:
Citizens Bank NA, ABA # [REDACTED] for the account of Willamette Management Associates, Account # [REDACTED]
Thank you for allowing us to be of service!

Willamette Management Associates
8600 West Bryn Mawr Avenue
Suite 950N
Chicago, Illinois 60631
(773) 399-4300

April 30, 2021

California American Water Company
c/o Karla Ayala
1 Water Street
Camden, NJ 08102-1658

12962-1 KMZ

STATEMENT FOR PERIOD THROUGH 4/30/21

PROFESSIONAL SERVICE FEES: Bass Lake Water Co. \$4,262.50

EXPENSES

\$181.16

CURRENT CHARGES

\$4,443.66

PAY THIS AMOUNT

\$4,443.66

RETAINER BALANCE

\$10,000.00

Terms: Net 15 Days. Payments After April 30, 2021
Will Appear on Your Next Statement

Finance Charge Will Be Assessed per Contract Terms



Willamette Management Associates

8600 West Bryn Mawr Avenue, Suite 950-N
Chicago, Illinois 60631
773 • 399 • 4300/(Fax) 773 • 399 • 4310

California American Water Company
AP Dept 1015
c/o Karla Ayala
1 Water Street
Camden, NJ 08102-1658

December 31, 2021

Client ID 12962-1 KMZ
Invoice No. 12306

Statement for period through December 28, 2021

PROFESSIONAL SERVICE FEES: Bass Lake Water Co.

TOTAL FEES	\$11,768.75
TOTAL EXPENSES	\$500.17
TOTAL FEES AND EXPENSES	\$12,268.92

PRIOR STATEMENT BALANCE	\$0.00
CURRENT CHARGES	\$12,268.92
PAY THIS AMOUNT	\$12,268.92

Terms: Net 15 Days. Payments After 12/31/21 Will Appear on Your Next Statement

Current Retainer Balance: \$5,001.62

Finance Charge Will Be Assessed per Contract Terms

Please note that our bank account has changed. Please remit payments to:
Citizens Bank NA, ABA # **REDACTED** for the account of Willamette Management Associates, Account # **REDACTED**
Thank you for allowing us to be of service!

Willamette Management Associates
8600 West Bryn Mawr Avenue
Suite 950N
Chicago, Illinois 60631
(773) 399-4300

October 31, 2020

California American Water Company
c/o Karla Ayala
1 Water Street
Camden, NJ 08102-1658

12962-1 KMZ

STATEMENT FOR PERIOD THROUGH 10/31/20

PROFESSIONAL SERVICE FEES: Bass Lake Water Co.	\$2,575.00
EXPENSES	\$109.44
CURRENT CHARGES	\$2,684.44
PRIOR STATEMENT BALANCE	\$54,088.66
PAY THIS AMOUNT	\$56,773.10

STATEMENT OF ACCOUNT

8/31/20 Bill	36,611.30
9/30/20 Bill	8,033.77
10/15/20 Bill	9,443.59
10/31/20 Bill	2,684.44

	\$56,773.10

RETAINER BALANCE \$10,000.00

Terms: Net 15 Days. Payments After October 31, 2020
Will Appear on Your Next Statement

Finance Charge Will Be Assessed per Contract Terms



Willamette Management Associates

8600 West Bryn Mawr Avenue, Suite 950-N
Chicago, Illinois 60631
773 • 399 • 4300/(Fax) 773 • 399 • 4310

California American Water Company
AP Dept 1015
c/o Karla Ayala
1 Water Street
Camden, NJ 08102-1658

June 30, 2021

Client ID 12962-1 KMZ
Invoice No. 10093

Statement for period through June 30, 2021

PROFESSIONAL SERVICE FEES: Bass Lake Water Co.

TOTAL FEES	\$9,187.50
TOTAL EXPENSES	\$450.47
TOTAL FEES AND EXPENSES	\$9,637.97

PRIOR STATEMENT BALANCE	\$19,859.63
TOTAL PAYMENTS AND ADJUSTMENTS	-\$4,443.66
CURRENT CHARGES	\$9,637.97
PAY THIS AMOUNT	\$25,053.94

Terms: Net 15 Days. Payments After 06/30/21 Will Appear on Your Next Statement

Detail of Unpaid Invoices

Invoice Date	Invoice Number	Amount Billed	Balance Due
06/15/21	0	\$15,415.97	\$15,415.97

Detail of Payments and Adjustments

Date	Check No.	Description	Amount
06/18/21	55371	Client Payment CK # [REDACTED]	\$4,443.66

Finance Charge Will Be Assessed per Contract Terms

Our preference is for payment to be made electronically. Here is our bank info:
First Midwest Bank, [REDACTED] Account [REDACTED]
Thank you for allowing us to be of service!

Willamette Management Associates
8600 West Bryn Mawr Avenue
Suite 950N
Chicago, Illinois 60631
(773) 399-4300

March 15, 2020

Karla Ayala

California American Water Company
~~Nicholas A. Sobies~~, Esq.
555 Montgomery Street
Suite 816
San Francisco, California 94111

12826-1 KMZ

STATEMENT FOR PERIOD THROUGH 3/15/20

PROFESSIONAL SERVICE FEES: CAW-East Pasadena

\$1,737.50

EXPENSES

\$81.34

CURRENT CHARGES

\$1,818.84

PAY THIS AMOUNT

\$1,818.84

RETAINER BALANCE

\$2,191.94

Terms: Net 15 Days. Payments After March 15, 2020
Will Appear on Your Next Statement

Finance Charge Will Be Assessed per Contract Terms

Willamette Management Associates
8600 West Bryn Mawr Avenue
Suite 950N
Chicago, Illinois 60631
(773) 399-4300

October 15, 2020

California American Water Company
c/o Karla Ayala
1 Water Street
Camden, NJ 08102-1658

12962-1 KMZ

STATEMENT FOR PERIOD THROUGH 10/15/20

PROFESSIONAL SERVICE FEES: Bass Lake Water Co.	\$9,025.00
EXPENSES	\$418.59
CURRENT CHARGES	\$9,443.59
PRIOR STATEMENT BALANCE	\$44,645.07
PAY THIS AMOUNT	\$54,088.66

STATEMENT OF ACCOUNT

8/31/20 Bill	36,611.30
9/30/20 Bill	8,033.77
10/15/20 Bill	9,443.59

	\$54,088.66

RETAINER BALANCE \$10,000.00

Terms: Net 15 Days. Payments After October 15, 2020
Will Appear on Your Next Statement

Finance Charge Will Be Assessed per Contract Terms



Willamette Management Associates
Dear valued client: Did you know that the cost of paying WMA
electronically by ACH is less than a postage stamp? Plus, you
don't have to stuff and seal the envelope!
Here is our banking information for paying by ACH:

RTN = REDACTED
Account# [REDACTED]

Willamette Management Associates
8600 West Bryn Mawr Avenue
Suite 950N
Chicago, Illinois 60631
(773) 399-4300

August 31, 2020

California American Water Company
c/o Karla Ayala
1 Water Street
Camden, NJ 08102-1658

118639

12962-1 KMZ

Aug 20

(Lw)

STATEMENT FOR PERIOD THROUGH 8/31/20

PROFESSIONAL SERVICE FEES: Bass Lake Water Co.

\$35,118.75

EXPENSES

\$1,492.55

CURRENT CHARGES

\$36,611.30

PRIOR STATEMENT BALANCE

\$16,888.50

PAY THIS AMOUNT

\$53,499.80

STATEMENT OF ACCOUNT

7/15/20 Bill	12,529.55	- DOC 1643272
7/31/20 Bill	4,358.95	- DOC 1657035
8/31/20 Bill	36,611.30	
	<hr/>	
	\$53,499.80	

RETAINER BALANCE

\$10,000.00

Terms: Net 15 Days. Payments After August 31, 2020
Will Appear on Your Next Statement

Finance Charge Will Be Assessed per Contract Terms
Finance Charge Will Be Assessed per Contract Terms

Willamette Management Associates
8600 West Bryn Mawr Avenue
Suite 950N
Chicago, Illinois 60631
(773) 399-4300

July 15, 2020

California American Water Company
c/o Karla Ayala
1 Water Street
Camden, NJ 08102-1658

12962-1 KMZ

STATEMENT FOR PERIOD THROUGH 7/15/20

PROFESSIONAL SERVICE FEES: Bass Lake Water Co.	\$12,018.75
EXPENSES	\$510.80
CURRENT CHARGES	\$12,529.55
PAY THIS AMOUNT	\$12,529.55
RETAINER BALANCE	\$10,000.00

Terms: Net 15 Days. Payments After July 15, 2020
Will Appear on Your Next Statement

Finance Charge Will Be Assessed per Contract Terms



Willamette Management Associates
Dear valued client: Did you know that the cost of paying WMA
electronically by ACH is less than a postage stamp? Plus, you
don't have to stuff and seal the envelope!
Here is our banking information for paying by ACH:

RTN = REDACTED
Account# = REDACTED

Willamette Management Associates
8600 West Bryn Mawr Avenue
Suite 950N
Chicago, Illinois 60631
(773) 399-4300

July 31, 2020

California American Water Company
c/o Karla Ayala
1 Water Street
Camden, NJ 08102-1658

12962-1 KMZ

STATEMENT FOR PERIOD THROUGH 7/31/20

PROFESSIONAL SERVICE FEES: Bass Lake Water Co.	\$4,181.25
EXPENSES	\$177.70
CURRENT CHARGES	\$4,358.95
PRIOR STATEMENT BALANCE	\$12,529.55
PAY THIS AMOUNT	\$16,888.50

STATEMENT OF ACCOUNT

7/15/20 Bill	12,529.55
7/31/20 Bill	4,358.95
	\$16,888.50

RETAINER BALANCE \$10,000.00

Terms: Net 15 Days. Payments After July 31, 2020
Will Appear on Your Next Statement

Finance Charge Will Be Assessed per Contract Terms



Willamette Management Associates

Dear valued client: Did you know that the cost of paying WMA electronically by ACH is less than a postage stamp? Plus, you don't have to stuff and seal the envelope!

Here is our banking information for paying by ACH:

RTN = REDACTED
Account# [REDACTED]

Willamette Management Associates
8600 West Bryn Mawr Avenue
Suite 950N
Chicago, Illinois 60631
(773) 399-4300

September 30, 2020

California American Water Company
c/o Karla Ayala
1 Water Street
Camden, NJ 08102-1658

12962-1 KMZ

STATEMENT FOR PERIOD THROUGH 9/30/20

PROFESSIONAL SERVICE FEES: Bass Lake Water Co.	\$7,706.25
EXPENSES	\$327.52
CURRENT CHARGES	\$8,033.77
PRIOR STATEMENT BALANCE	\$40,970.25
PAYMENTS AND ADJUSTMENTS	
9/16/20 Client Payment CK #1500052376	\$4,358.95CR
TOTAL PAYMENTS AND ADJUSTMENTS	\$4,358.95CR
PAY THIS AMOUNT	\$44,645.07

STATEMENT OF ACCOUNT

8/31/20 Bill	36,611.30
9/30/20 Bill	8,033.77

	\$44,645.07

RETAINER BALANCE \$10,000.00

Terms: Net 15 Days. Payments After September 30, 2020
Will Appear on Your Next Statement

Finance Charge Will Be Assessed per Contract Terms



Willamette Management Associates

Dear valued client: Did you know that the cost of paying WMA electronically by ACH is less than a postage stamp? Plus, you don't have to stuff and seal the envelope!

Here is our banking information for paying by ACH:

RTN = REDACTED

Account#



Willamette Management Associates

8600 West Bryn Mawr Avenue, Suite 950-N
Chicago, Illinois 60631
773 • 399 • 4300/(Fax) 773 • 399 • 4310

California American Water Company
AP Dept 1015
c/o Karla Ayala
1 Water Street
Camden, NJ 08102-1658

February 15, 2022

Client ID 12962-1 KMZ
Invoice No. 12918

Statement for period through February 15, 2022

PROFESSIONAL SERVICE FEES: Bass Lake Water Co.

TOTAL FEES **\$5,068.75**

TOTAL EXPENSES **\$215.42**

TOTAL FEES AND EXPENSES **\$5,284.17**

PRIOR STATEMENT BALANCE \$25,887.94

RETAINER APPLIED -\$5,001.62

TOTAL PAYMENTS AND ADJUSTMENTS -\$12,268.92

CURRENT CHARGES \$5,284.17

PAY THIS AMOUNT **\$13,901.57**

Terms: Net 15 Days. Payments After 02/15/22 Will Appear on Your Next Statement

Detail of Unpaid Invoices

Invoice Date	Invoice Number	Amount Billed	Balance Due
01/31/22	12731	\$13,619.02	\$13,619.02

Detail of Payments and Adjustments

Date	Check No.	Description	Amount
02/15/22	REDACTED	Client Payment CK # REDACTED	\$12,268.92

Finance Charge Will Be Assessed per Contract Terms

Please note that our bank account has changed. Please remit payments to:
Citizens Bank NA, REDACTED, for the account of Willamette Management Associates, Account # REDACTED
Thank you for allowing us to be of service!

Zuber Lawler & Del Duca LLP

350 S. Grand Avenue
32nd Floor
Los Angeles, CA 90071
(213)596-5620

Statement as of December 31, 2018
Statement No. 28058

California American Water Co.
Anthony J. Cerasuolo
655 West Broadway
Suite 1410
San Diego, CA 92101

1949-1008: T. General - Bass Lake Water Company

Professional Fees

			Hours	Rate	Amount
12/13/2018	NXO	REDACTED	1.10	435	478.50
12/17/2018	NXO		0.50	435	217.50
12/19/2018	NXO		0.20	435	87.00
Sub-Total Fees for Matter:					783.00

Payments

12/28/2018	Payment	12/24/18 CK# REDACTED	1,218.00
Sub-Total Payments for Matter:			1,218.00

Unpaid Previous Balance Due for Matter: 2,871.00
Interest On Unpaid Previous Balance Due for Matter: 0.00

Total Current Billing:	783.00
Total Unpaid Previous Balance Due:	2,871.00
Total Now Due:	3,654.00

Please make all checks payable to "Zuber Lawler & Del Duca LLP." If you would like to pay by wire transfer, in the transfer instructions, please note the full and formal client name, and please cite the following account information:

Zuber Lawler & Del Duca LLP

350 S. Grand Avenue
32nd Floor
Los Angeles, CA 90071
(213) 596-5620
Tax ID: 20-0175027

Statement as of December 31, 2018
Statement No. 28058

California American Water Co.
Anthony J. Cerasuolo
655 West Broadway
Suite 1410
San Diego, CA 92101

1949-1008: T. General - Bass Lake Water Company

Sub-Total New Fees for Matter:	783.00
Sub-Total New Expenses for Matter:	0.00
Unpaid Previous Balance Due for Matter:	2,871.00
Interest On Unpaid Previous Balance Due for Matter:	0.00
Sub-Total Now Due for Matter:	<u>3,654.00</u>

Total Current Billing:	783.00
Total Previous Balance Due:	4,089.00
Payments Received:	1,218.00
Total Now Due:	<u>3,654.00</u>

Bank: Bank of America

Address of Bank: Los Angeles, CA, USA

Account Holder: Zuber Lawler & Del Duca LLP

Account **REDACTED**

Routing **REDACTED**

Swift # **REDACTED**

Zuber Lawler & Del Duca LLP

350 S. Grand Avenue
32nd Floor
Los Angeles, CA 90071
(213)596-5620
Tax ID: 20-0175027

Statement as of February 28, 2019
Statement No. 28456

California American Water Co.
Anthony J. Cerasuolo
655 West Broadway
Suite 1410
San Diego, CA 92101

1949-1008: T. General - Bass Lake Water Company

Sub-Total New Fees for Matter:	696.00
Sub-Total New Expenses for Matter:	0.00
Unpaid Previous Balance Due for Matter:	217.50
Interest On Unpaid Previous Balance Due for Matter:	0.00
Sub-Total Now Due for Matter:	<u>913.50</u>

Total Current Billing:	696.00
Total Previous Balance Due:	1,000.50
Payments Received:	783.00
Total Now Due:	<u>913.50</u>

Zuber Lawler & Del Duca LLP

350 S. Grand Avenue
 32nd Floor
 Los Angeles, CA 90071
 (213)596-5620

Statement as of February 28, 2019
 Statement No. 28456

California American Water Co.
 Anthony J. Cerasuolo
 655 West Broadway
 Suite 1410
 San Diego, CA 92101

1949-1008: T. General - Bass Lake Water Company

Professional Fees

			Hours	Rate	Amount
2/4/2019	NXO	REDACTED	0.20	435	87.00
2/11/2019	NXO		0.70	435	304.50
2/12/2019	NXO		0.30	435	130.50
2/13/2019	NXO		0.40	435	174.00
Sub-Total Fees for Matter:					696.00

Payments

3/4/2019	Payment	2/28/19 CK#	REDACTED	783.00	
Sub-Total Payments for Matter:				783.00	
Unpaid Previous Balance Due for Matter:					217.50
Interest On Unpaid Previous Balance Due for Matter:					0.00
Total Current Billing:					696.00
Total Unpaid Previous Balance Due:					217.50
Total Now Due:					913.50

Please make all checks payable to "Zuber Lawler & Del Duca LLP." If you would like to pay by wire transfer, in the transfer instructions, please note the full and formal client name, and please cite the following account information:

Bank: Bank of America

Address of Bank: Los Angeles, CA, USA

Account Holder: Zuber Lawler & Del Duca LLP

Account: REDACTED

Routing: REDACTED

Swift #: REDACTED

Zuber Lawler & Del Duca LLP

350 S. Grand Avenue
32nd Floor
Los Angeles, CA 90071
(213)596-5620
Tax ID: 20-0175027

Statement as of January 31, 2019
Statement No. 28270

California American Water Co.
Anthony J. Cerasuolo
655 West Broadway
Suite 1410
San Diego, CA 92101

1949-1008: T. General - Bass Lake Water Company

Sub-Total New Fees for Matter:	217.50
Sub-Total New Expenses for Matter:	0.00
Unpaid Previous Balance Due for Matter:	783.00
Interest On Unpaid Previous Balance Due for Matter:	0.00
Sub-Total Now Due for Matter:	<u>1,000.50</u>

Total Current Billing:	217.50
Total Previous Balance Due:	3,654.00
Payments Received:	2,871.00
Total Now Due:	<u>1,000.50</u>

Zuber Lawler & Del Duca LLP

350 S. Grand Avenue
 32nd Floor
 Los Angeles, CA 90071
 (213)596-5620

Statement as of January 31, 2019
 Statement No. 28270

California American Water Co.
 Anthony J. Cerasuolo
 655 West Broadway
 Suite 1410
 San Diego, CA 92101

1949-1008: T. General - Bass Lake Water Company

Professional Fees

			Hours	Rate	Amount
1/23/2019	NXO	REDACTED	0.20	435	87.00
1/24/2019	NXO		0.20	435	87.00
1/25/2019	NXO		0.10	435	43.50
Sub-Total Fees for Matter:					217.50

Payments

1/28/2019	Payment	1/25/19 CK#	REDACTED	2,871.00
Sub-Total Payments for Matter:				2,871.00

Unpaid Previous Balance Due for Matter:	783.00
Interest On Unpaid Previous Balance Due for Matter:	0.00

Total Current Billing:	217.50
Total Unpaid Previous Balance Due:	783.00
Total Now Due:	1,000.50

Please make all checks payable to "Zuber Lawler & Del Duca LLP." If you would like to pay by wire transfer, in the transfer instructions, please note the full and formal client name, and please cite the following account information:

Zuber Lawler & Del Duca LLP

Page: 3

Bank: Bank of America

Address of Bank: Los Angeles, CA, USA

Account Holder: Zuber Lawler & Del Duca LLP

Account: **REDACTED**

Routing: **REDACTED**

Swift #: **REDACTED**

Zuber Lawler & Del Duca LLP

350 S. Grand Avenue
32nd Floor
Los Angeles, CA 90071
(213) 596-5620
Tax ID: 20-0175027

Statement as of March 31, 2019
Statement No. 28727

California American Water Co.
Anthony J. Cerasuolo
655 West Broadway
Suite 1410
San Diego, CA 92101

1949-1008: T. General - Bass Lake Water Company

Sub-Total New Fees for Matter:	3,079.50
Sub-Total New Expenses for Matter:	0.00
Unpaid Previous Balance Due for Matter:	696.00
Interest On Unpaid Previous Balance Due for Matter:	0.00
Sub-Total Now Due for Matter:	<u>3,775.50</u>

Total Current Billing:	3,079.50
Total Previous Balance Due:	913.50
Payments Received:	217.50
Total Now Due:	<u>3,775.50</u>

Zuber Lawler & Del Duca LLP

350 S. Grand Avenue
32nd Floor
Los Angeles, CA 90071
(213)596-5620

Statement as of March 31, 2019
Statement No. 28727

California American Water Co.
Anthony J. Cerasuolo
655 West Broadway
Suite 1410
San Diego, CA 92101

1949-1008: T. General - Bass Lake Water Company

Professional Fees

			Hours	Rate	Amount
3/7/2019	NXO	REDACTED	0.20	435	87.00
3/8/2019	NXO		0.20	435	87.00
3/11/2019	NXO		0.40	435	174.00
3/20/2019	NXO		0.10	435	43.50
3/22/2019	NXO		0.80	435	348.00
3/25/2019	NXO		1.50	435	652.50
3/26/2019	BXH		3.40	360	1,224.00
3/26/2019	NXO		0.30	435	130.50
3/27/2019	NXO		0.60	435	261.00
3/27/2019	BXH		0.20	360	72.00
Sub-Total Fees for Matter:					3,079.50

Payments

4/2/2019	Payment	03/28/2019 Check #1500045674	217.50
Sub-Total Payments for Matter:			217.50

Unpaid Previous Balance Due for Matter:	696.00
Interest On Unpaid Previous Balance Due for Matter:	0.00

Total Current Billing:	3,079.50
Total Unpaid Previous Balance Due:	696.00
Total Now Due:	3,775.50

Please make all checks payable to "Zuber Lawler & Del Duca LLP." If you would like to pay by wire transfer, in the transfer instructions, please note the full and formal client name, and please cite the following account information:

Bank: Bank of America
Address of Bank: Los Angeles, CA, USA
Account Holder: Zuber Lawler & Del Duca LLP
Account: **REDACTED**
Routing: **REDACTED**
Swift #: **REDACTED**

Zuber Lawler & Del Duca LLP

350 S. Grand Avenue
32nd Floor
Los Angeles, CA 90071
(213)596-5620
Tax ID: 20-0175027

Statement as of June 30, 2019
Statement No. 29498

California American Water Co.
Anthony J. Cerasuolo
655 West Broadway
Suite 1410
San Diego, CA 92101

1949-1008: T. General - Bass Lake Water Company

Sub-Total New Fees for Matter:	2,262.00
Sub-Total New Expenses for Matter:	0.00
Unpaid Previous Balance Due for Matter:	3,360.50
Interest On Unpaid Previous Balance Due for Matter:	0.00
Sub-Total Now Due for Matter:	<u>5,622.50</u>

Total Current Billing:	2,262.00
Total Previous Balance Due:	8,841.50
Payments Received:	5,481.00
Total Now Due:	<u>5,622.50</u>

Zuber Lawler & Del Duca LLP

350 S. Grand Avenue
32nd Floor
Los Angeles, CA 90071
(213)596-5620

Statement as of June 30, 2019
Statement No. 29498

California American Water Co.
Anthony J. Cerasuolo
655 West Broadway
Suite 1410
San Diego, CA 92101

1949-1008: T. General - Bass Lake Water Company

Professional Fees

			Hours	Rate	Amount
6/6/2019	JVR	REDACTED	0.40	435	174.00
6/11/2019	JVR		1.80	435	783.00
6/13/2019	JVR		0.50	435	217.50
6/17/2019	JVR		1.10	435	478.50
6/18/2019	JVR		1.40	435	609.00
Sub-Total Fees for Matter:					<u>2,262.00</u>

Payments

6/24/2019	Payment	6/21/19 CK#1500046860	5,481.00
Sub-Total Payments for Matter:			<u>5,481.00</u>

Unpaid Previous Balance Due for Matter:	3,360.50
Interest On Unpaid Previous Balance Due for Matter:	0.00

Total Current Billing:	<u>2,262.00</u>
Total Unpaid Previous Balance Due:	<u>3,360.50</u>
Total Now Due:	5,622.50

Please make all checks payable to "Zuber Lawler & Del Duca LLP." If you would like to pay by wire transfer, in the transfer instructions, please note the full and formal client name, and please cite the following account information:

Bank: Bank of America
Address of Bank: Los Angeles, CA, USA
Account Holder: Zuber Lawler & Del Duca LLP
Account: **REDACTED**
Routing: **REDACTED**
Swift #: **REDACTED**

Zuber Lawler & Del Duca LLP

350 S. Grand Avenue
32nd Floor
Los Angeles, CA 90071
(213)596-5620
Tax ID: 20-0175027

Statement as of October 31, 2018
Statement No. 27551

California American Water Co.
Anthony J. Cerasuolo
655 West Broadway
Suite 1410
San Diego, CA 92101

1949-1008: T. General - Bass Lake Water Company

Sub-Total New Fees for Matter:	1,218.00
Sub-Total New Expenses for Matter:	0.00
Unpaid Previous Balance Due for Matter:	0.00
Interest On Unpaid Previous Balance Due for Matter:	0.00
Sub-Total Now Due for Matter:	<u>1,218.00</u>

Total Current Billing:	1,218.00
Total Previous Balance Due:	0.00
Payments Received:	0.00
Total Now Due:	<u>1,218.00</u>

Zuber Lawler & Del Duca LLP

350 S. Grand Avenue
32nd Floor
Los Angeles, CA 90071
(213)596-5620

Statement as of October 31, 2018
Statement No. 27551

California American Water Co.
Anthony J. Cerasuolo
655 West Broadway
Suite 1410
San Diego, CA 92101

1949-1008: T. General - Bass Lake Water Company

Professional Fees

			Hours	Rate	Amount
10/22/2018	NXO	REDACTED	0.20	435	87.00
10/24/2018	NXO		2.50	435	1,087.50
10/26/2018	NXO		0.10	435	43.50
Sub-Total Fees for Matter:					1,218.00

Unpaid Previous Balance Due for Matter: 0.00
Interest On Unpaid Previous Balance Due for Matter: 0.00

Total Current Billing: 1,218.00
Total Unpaid Previous Balance Due: 0.00
Total Now Due: 1,218.00

Please make all checks payable to "Zuber Lawler & Del Duca LLP." If you would like to pay by wire transfer, in the transfer instructions, please note the full and formal client name, and please cite the following account information:

Bank: Bank of America
Address of Bank: Los Angeles, CA, USA
Account Holder: Zuber Lawler & Del Duca LLP
Account: **REDACTED**
Routing: **REDACTED**
Swift #: **REDACTED**

Zuber Lawler & Del Duca LLP

350 S. Grand Avenue
32nd Floor
Los Angeles, CA 90071
(213)596-5620
Tax ID: 20-0175027

Statement as of July 31, 2019
Statement No. 29834

California American Water Co.
Anthony J. Cerasuolo
655 West Broadway
Suite 1410
San Diego, CA 92101

1949-1008: T. General - Bass Lake Water Company

Sub-Total New Fees for Matter:	1,827.00
Sub-Total New Expenses for Matter:	0.00
Unpaid Previous Balance Due for Matter:	2,262.00
Interest On Unpaid Previous Balance Due for Matter:	0.00
Sub-Total Now Due for Matter:	<u>4,089.00</u>

Total Current Billing:	1,827.00
Total Previous Balance Due:	5,622.50
Payments Received:	3,360.50
Total Now Due:	<u>4,089.00</u>

Zuber Lawler & Del Duca LLP

350 S. Grand Avenue
 32nd Floor
 Los Angeles, CA 90071
 (213)596-5620

Statement as of July 31, 2019
 Statement No. 29834

California American Water Co.
 Anthony J. Cerasuolo
 655 West Broadway
 Suite 1410
 San Diego, CA 92101

1949-1008: T. General - Bass Lake Water Company

Professional Fees

		Hours	Rate	Amount
7/12/2019	JVR	0.20	435	87.00
7/15/2019	JVR	3.50	435	1,522.50
7/25/2019	JVR	0.30	435	130.50
7/29/2019	JVR	0.20	435	87.00
Sub-Total Fees for Matter:				1,827.00

Payments

7/29/2019	Payment	7/22/19 CK#	3,360.50
Sub-Total Payments for Matter:			3,360.50
Unpaid Previous Balance Due for Matter:			2,262.00
Interest On Unpaid Previous Balance Due for Matter:			0.00
Total Current Billing:			1,827.00
Total Unpaid Previous Balance Due:			2,262.00
Total Now Due:			4,089.00

Please make all checks payable to "Zuber Lawler & Del Duca LLP." If you would like to pay by wire transfer, in the transfer instructions, please note the full and formal client name, and please cite the following account information:

Bank: Bank of America

Address of Bank: Los Angeles, CA, USA

Account Holder: Zuber Lawler & Del Duca LLP

Account: REDACTED

Routing: REDACTED

Swift #: REDACTED

Zuber Lawler & Del Duca LLP

350 S. Grand Avenue
32nd Floor
Los Angeles, CA 90071
(213)596-5620
Tax ID: 20-0175027

Statement as of May 31, 2019
Statement No. 29256

California American Water Co.
Anthony J. Cerasuolo
655 West Broadway
Suite 1410
San Diego, CA 92101

1949-1008: T. General - Bass Lake Water Company

Sub-Total New Fees for Matter:	3,001.50
Sub-Total New Expenses for Matter:	359.00
Unpaid Previous Balance Due for Matter:	5,481.00
Interest On Unpaid Previous Balance Due for Matter:	0.00
Sub-Total Now Due for Matter:	<u>8,841.50</u>

Total Current Billing:	3,360.50
Total Previous Balance Due:	8,560.50
Payments Received:	3,079.50
Total Now Due:	<u>8,841.50</u>

Zuber Lawler & Del Duca LLP

350 S. Grand Avenue
 32nd Floor
 Los Angeles, CA 90071
 (213)596-5620

Statement as of May 31, 2019
 Statement No. 29256

California American Water Co.
 Anthony J. Cerasuolo
 655 West Broadway
 Suite 1410
 San Diego, CA 92101

1949-1008: T. General - Bass Lake Water Company

Professional Fees

			Hours	Rate	Amount
5/7/2019	JVR	REDACTED	0.30	435	130.50
5/8/2019	JVR		1.20	435	522.00
5/9/2019	JVR		1.10	435	478.50
5/10/2019	JVR		2.30	435	1,000.50
5/16/2019	JVR		0.20	435	87.00
5/24/2019	JVR		0.90	435	391.50
5/28/2019	JVR		0.90	435	391.50
Sub-Total Fees for Matter:					3,001.50

Expenses

3/25/2019	Disbursement issued to Corp2000 - Record Search	359.00
Sub-Total Expenses for Matter:		359.00

Payments

5/28/2019	Payment	5/24/19 CK# REDACTED	3,079.50
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Sub-Total Payments for Matter:	<u>3,079.50</u>
Unpaid Previous Balance Due for Matter:	5,481.00
Interest On Unpaid Previous Balance Due for Matter:	0.00
Total Current Billing:	<u>3,360.50</u>
Total Unpaid Previous Balance Due:	<u>5,481.00</u>
Total Now Due:	<u>8,841.50</u>

Please make all checks payable to "Zuber Lawler & Del Duca LLP." If you would like to pay by wire transfer, in the transfer instructions, please note the full and formal client name, and please cite the following account information:

Bank: Bank of America
Address of Bank: Los Angeles, CA, USA
Account Holder: Zuber Lawler & Del Duca LLP
Account: **REDACTED**
Routing: **REDACTED**
Swift #: **REDACTED**

Zuber Lawler & Del Duca LLP

350 S. Grand Avenue
32nd Floor
Los Angeles, CA 90071
(213)596-5620
Tax ID: 20-0175027

Statement as of April 30, 2019
Statement No. 29013

California American Water Co.
Anthony J. Cerasuolo
655 West Broadway
Suite 1410
San Diego, CA 92101

1949-1008: T. General - Bass Lake Water Company

Sub-Total New Fees for Matter:	5,481.00
Sub-Total New Expenses for Matter:	0.00
Unpaid Previous Balance Due for Matter:	3,079.50
Interest On Unpaid Previous Balance Due for Matter:	0.00
Sub-Total Now Due for Matter:	<u>8,560.50</u>

Total Current Billing:	5,481.00
Total Previous Balance Due:	3,775.50
Payments Received:	696.00
Total Now Due:	<u>8,560.50</u>

Zuber Lawler & Del Duca LLP

350 S. Grand Avenue
 32nd Floor
 Los Angeles, CA 90071
 (213)596-5620

Statement as of April 30, 2019
 Statement No. 29013

California American Water Co.
 Anthony J. Cerasuolo
 655 West Broadway
 Suite 1410
 San Diego, CA 92101

1949-1008: T. General - Bass Lake Water Company

Professional Fees

			Hours	Rate	Amount
4/2/2019	NXO	REDACTED	0.20	435	87.00
4/2/2019	JVR		0.10	435	43.50
4/3/2019	NXO		2.50	435	1,087.50
4/5/2019	NXO		2.50	435	1,087.50
4/8/2019	NXO		0.20	435	87.00
4/9/2019	JVR		2.80	435	1,218.00
4/9/2019	NXO		0.50	435	217.50
4/10/2019	BXH		3.20	435	1,392.00
4/11/2019	JVR		0.40	435	174.00
4/15/2019	JVR		0.20	435	87.00
Sub-Total Fees for Matter:					5,481.00

Payments

4/22/2019	Payment	4/19/19 CK#	REDACTED	696.00
Sub-Total Payments for Matter:				696.00

Unpaid Previous Balance Due for Matter:	3,079.50
Interest On Unpaid Previous Balance Due for Matter:	0.00

Total Current Billing:	5,481.00
Total Unpaid Previous Balance Due:	3,079.50
Total Now Due:	8,560.50

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Zuber Lawler & Del Duca LLP

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32nd Floor
Los Angeles, CA 90071
(213)596-5620
Tax ID: 20-0175027

Statement as of August 31, 2019
Statement No. 30092

California American Water Co.
Anthony J. Cerasuolo
655 West Broadway
Suite 1410
San Diego, CA 92101

1949-1008: T. General - Bass Lake Water Company

Sub-Total New Fees for Matter:	7,177.50
Sub-Total New Expenses for Matter:	0.00
Unpaid Previous Balance Due for Matter:	1,827.00
Interest On Unpaid Previous Balance Due for Matter:	0.00
Sub-Total Now Due for Matter:	<u>9,004.50</u>

Total Current Billing:	7,177.50
Total Previous Balance Due:	4,089.00
Payments Received:	2,262.00
Total Now Due:	<u>9,004.50</u>

Zuber Lawler & Del Duca LLP

350 S. Grand Avenue
32nd Floor
Los Angeles, CA 90071
(213)596-5620

Statement as of August 31, 2019
Statement No. 30092

California American Water Co.
Anthony J. Cerasuolo
655 West Broadway
Suite 1410
San Diego, CA 92101

1949-1008: T. General - Bass Lake Water Company

Professional Fees

		Hours	Rate	Amount
8/7/2019	JVR	0.60	435	261.00
8/14/2019	JVR	0.90	435	391.50
8/15/2019	JVR	0.50	435	217.50
8/16/2019	BXH	0.60	435	261.00
8/16/2019	JVR	1.40	435	609.00
8/19/2019	BXH	2.90	435	1,261.50
8/19/2019	JVR	0.50	435	217.50
8/22/2019	BXH	0.80	435	348.00
8/27/2019	JVR	0.20	435	87.00
8/28/2019	JVR	0.30	435	130.50
8/29/2019	BXH	2.30	435	1,000.50
8/29/2019	JVR	1.40	435	609.00
8/30/2019	BXH	0.80	435	348.00
8/30/2019	JVR	2.90	435	1,261.50

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Sub-Total Fees for Matter: 7,177.50**Payments**

8/19/2019	Payment	8/16/19 CK#	REDACTED	2,262.00
Sub-Total Payments for Matter:				<u>2,262.00</u>

Unpaid Previous Balance Due for Matter:	1,827.00
Interest On Unpaid Previous Balance Due for Matter:	0.00

Total Current Billing:	<u>7,177.50</u>
Total Unpaid Previous Balance Due:	<u>1,827.00</u>
Total Now Due:	<u>9,004.50</u>

Please make all checks payable to "Zuber Lawler & Del Duca LLP." If you would like to pay by wire transfer, in the transfer instructions, please note the full and formal client name, and please cite the following account information:

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Swift #: REDACTED

Zuber Lawler & Del Duca LLP

350 S. Grand Avenue
32nd Floor
Los Angeles, CA 90071
(213)596-5620
TaxID: 20-0175027

Statement as of November 30, 2018
Statement No. 27774

California American Water Co.
Anthony J. Cerasuolo
655 West Broadway
Suite 1410
San Diego, CA 92101

1949-1008: T. General - Project Treble

Sub-Total New Fees for Matter:	2,871.00
Sub-Total New Expenses for Matter:	0.00
Unpaid Previous Balance Due for Matter:	1,218.00
Interest On Unpaid Previous Balance Due for Matter:	0.00
Sub-Total Now Due for Matter:	<u>4,089.00</u>

Total Current Billing:	2,871.00
Total Previous Balance Due:	1,218.00
Payments Received:	0.00
Total Now Due:	<u>4,089.00</u>

Zuber Lawler & Del Duca LLP

350 S. Grand Avenue
32nd Floor
Los Angeles, CA 90071
(213)596-5620

Statement as of November 30, 2018
Statement No. 27774

California American Water Co.
Anthony J. Cerasuolo
655 West Broadway
Suite 1410
San Diego, CA 92101

1949-1008: T. General - Project Treble

Professional Fees

			Hours	Rate	Amount
11/2/2018	NXO	REDACTED	0.90	435	391.50
11/5/2018	NXO		2.60	435	1,131.00
11/6/2018	NXO		0.60	435	261.00
11/8/2018	NXO		1.60	435	696.00
11/16/2018	NXO		0.60	435	261.00
11/17/2018	NXO		0.30	435	130.50

Sub-Total Fees for Matter: 2,871.00

Unpaid Previous Balance Due for Matter: 1,218.00

Interest On Unpaid Previous Balance Due for Matter: 0.00

Total Current Billing: 2,871.00

Total Unpaid Previous Balance Due: 1,218.00

Total Now Due: 4,089.00

Please make all checks payable to "Zuber Lawler & Del Duca LLP." If you would like to pay by wire transfer, in the transfer instructions, please note the full and formal client name, and please cite the following account information:

Bank: Bank of America

Address of Bank: Los Angeles, CA, USA

Account Holder: Zuber Lawler & Del Duca LLP

Account **REDACTED**

Routing **REDACTED**

Swift # **REDACTED**

ATTACHMENT 4

STATE OF CALIFORNIA



DEPARTMENT OF STATE

To all whom these presents shall come, Greetings:

I, FRANK M. JORDAN, *Secretary of State of the State of California, hereby certify:*

That the annexed transcript has been compared with the RECORD on file in my office, of which it purports to be a copy, and that the same is full, true and correct.

In testimony whereof, I, FRANK M. JORDAN, Secretary of State, have hereunto caused the Great Seal of the State of California to be affixed and my name subscribed, at the City of Sacramento, in the State of California,
this 2nd day of March, 1959

Frank M. Jordan
Secretary of State

By *[Signature]*
Assistant Secretary of State

March 2, 1959

**ENDORSED
FILED**In the Office of the Secretary of State
of the State of California

MAR 2 - 1959

ARTICLES OF INCORPORATION OFLAW OFFICES OF
PECKINPAIN, PECKINPAIN
& HAMLIN
1829 N STREET
PASADENA 21, CALIF.BASS LAKE WATER CO.FIRST: The name of the corporation is:

BASS LAKE WATER CO.

SECOND: The corporation's purposes are:

(a) Primarily and initially to engage in the specific business of furnishing water for domestic purposes to occupants of lots in the vicinity of Bass Lake, California.

(b) To engage generally in the business of owning, by purchase or otherwise, leasing, renting or in any other manner lawfully acquiring and selling, renting, leasing or assigning to any other person, firm, corporation, county or municipality, pipelines and means for the transportation, delivery and sale of water and of constructing, building, maintaining and operating the same, and of buying, and selling water and water rights from and to individuals, firms, corporations, counties or municipalities; owning, operating, maintaining and controlling appliances as may be necessary in conducting its business as aforesaid; buying, owning, selling, leasing or renting all property, real or personal, as may be necessary in the conduct of its business and not contrary to law.

(c) To engage in any business related or unrelated to those described in clauses (a) and (b) of this Article Second and from time to time authorized or approved by the board of directors of this corporation.

(d) To act as partner or joint venturer or in any other legal capacity in any transaction.

(e) To do business anywhere in the

(f) To have and exercise all rights and powers from time to time granted to a corporation by law.

(g) The above purpose clauses shall not be limited by reference to or inference from one another, but each such purpose clause shall be construed as a separate statement conferring independent purposes and powers upon the corporation.

THIRD: The county in the State of California where the principal office for the transaction of the business of the corporation is located is the County of Madera.

FOURTH: The number of directors of the corporation is three (3).

FIFTH: The names and addresses of the persons who are appointed to act as first directors are:

G. E. WILLIAMS
Bass Lake
California

F. JOHN KARR
1229 N Street
Fresno, California

CHARLES F. HAMLIN
1229 N Street
Fresno, California

SIXTH: The total number of shares which the corporation is authorized to issue is Seventy-Five Hundred (7500) shares. The aggregate par value of said shares is Seventy-Five Thousand Dollars (\$75,000.00); and the par value of each share is Ten Dollars (\$10.00). No distinction shall exist between the shares of the corporation or the holders thereof.

SEVENTH: Each shareholder of this corporation shall be entitled to full pre-emptive or preferential rights,

as such rights are defined by law, to subscribe for or purchase his proportional part of any shares which may be issued at any time by this corporation.

IN WITNESS WHEREOF, the undersigned and above-named incorporators and first directors of this corporation have executed these articles of incorporation on the 27th day of February, 1959.

G. E. Williams
G. E. Williams

/s/ F. John Karr
F. John Karr

/s/ Charles F. Hamlin
Charles F. Hamlin

STATE OF CALIFORNIA }
County of Fresno } ss.

On February 27, 1959, before me, the undersigned, a Notary Public in and for said County and State, personally appeared G. E. WILLIAMS, F. JOHN KARR and CHARLES F. HAMLIN, known to me to be the persons whose names are subscribed to the foregoing Articles of Incorporation, and acknowledged to me that they executed the same.

WITNESS my hand and official seal.

/s/ Lillian Yeghoyan
Notary Public in and for said
County and State

